	Case 3:08-cv-01466-JCS Document 17	Filed 08/13/2008	Page 1 of 3
1 2 3 4 5 6 7 8 9	MANATT, PHELPS & PHILLIPS, LLP MARGARET LEVY (Bar No. 66585) JOSEPH E. LASKA (Bar No. 221055) 11355 West Olympic Boulevard Los Angeles, California 90064 Telephone: (310) 312-4000 Facsimile: (310) 312-4224 Email: mlevy@manatt.com and jlaska@n Attorneys for Defendant STONEBRIDGE LIFE INSURANCE CO STENNETT/CASINO JOHN P. STENNETT (Bar No. 72815) BARBARA A. CASINO (Bar No. 91952) 501 West Broadway, Suite 1340 San Diego, California 92101 Telephone: (619) 544-6404 Facsimile: (619) 234-7082 Email: Stennett@StennettCasino.com and	nanatt.com OMPANY	
11	Email: Stennett@StennettCasino.com and Bcasino@StennettCasino.com	I	
12 13	Attorneys for Plaintiffs TERRI SMITH and MICHELE SMITH F	REGOSO	
14	UNITED STATES	DISTRICT COUR	AT.
15	FOR THE NORTHERN DI	STRICT OF CAL	IFORNIA
16			
17	TERRI SMITH and MICHELE SMITH FREGOSO,	Case No. C 08-01	
18	Plaintiffs,	Magistrate Judge	• •
19	VS.	EXHIBITS IN CO	AUTHENTICATING ONNECTION WITH
20	STONEBRIDGE LIFE	SUMMARY JUE PLAINTIFFS' FI	
21 22	INSURANCE COMPANY, Defendant.	ACTION FOR BI CONTRACT	
23	Defendant.	Hearing Date:	September 26, 2008
24		Hearing Time: Courtroom:	9:30 a.m. A
25		Action Filed:	September 5, 2007
26			
27			
28			
ELPS & LLP	41303353.1 STIDLIL ATION ALITHI	ENTICATING EXHIBITS	<u> </u>

MANATT, PHELPS & PHILLIPS, LLP ATTORNEYS AT LAW LOS ANGELES

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Plaintiffs Terri Smith and Michele Smith Fregoso ("Plaintiffs") and Defendant Stonebridge Life Insurance Company ("Stonebridge"), through their counsel of record, submit the following stipulation to authenticate exhibits in connection with their respective Cross-Motions for Partial Summary Judgment on Plaintiffs' First Cause of Action for Breach of Contract.

- 1. Attached as **Exhibit A** is a true and correct copy of Stonebridge Accidental Death and Dismemberment Policy No. 72A45PO585, effective November 7, 2005, issued to Diane Geraldine Hall-Hussain (the "Policy").
- 2. Attached as **Exhibit B** is a true and correct copy of the Humboldt County Coroner's Death Investigation Report regarding Ms. Hall-Hussain, including the Toxicology Report from Central Valley Toxicology, which is incorporated into the Death Investigation Report by reference.
- 3. Attached as **Exhibit C** is a true and correct copy of Ms. Hall-Hussain's Death Certificate.
- 4. Attached as **Exhibit D** is a true and correct copy of Dr. Chia Chen's medical records relating to Ms. Hall-Hussain.
- 5. Attached as **Exhibit E** is a true and correct copy of a June 12, 2007 letter from Stonebridge to Plaintiffs denying their claim for benefits.
- 6. Attached as **Exhibit F** is a true and correct copy of a July 20, 2007 letter from Plaintiffs' counsel to Stonebridge.
- 7. Attached as **Exhibit G** is a true and correct copy of an August 9, 2007 letter from Stonebridge to Plaintiffs' counsel.
- 8. Attached as **Exhibit H** is a true and correct copy of Plaintiffs' claim form received by Stonebridge on April 27, 2007.
- 9. Attached as **Exhibit I** is a true and correct copy of Plaintiffs' Affidavit of Heirship received by Stonebridge on April 30, 2007.
- 10. Attached as **Exhibit J** are true and correct copies of excerpts from the transcript of the deposition of Dr. Chia Chen, taken on April 11, 2008.

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	Case 3:08-cv-01466-JCS	Document 17	Filed 08/13/2008	Page 3 of 3
1	11. Attached as	Exhibit K are tr	rue and correct copi	ies of excerpts from the
2	transcript of the deposition	on of Humboldt (County Deputy Cor	oner Roy Horton,
3	taken on April 11, 2008.			
4	IT IS SO STIPUL.	ATED.		
5				
6	Dated: August 13, 2008	MA M	ANATT, PHELPS	& PHILLIPS, LLP
7		JO	SEPH E. LASKA	
8				
9		Ву	: /s/ Joseph E. Laska	ıska
10			Attorneys for D	a Defendant SE LIFE INSURANCE
11			COMPANY	E E E I (SCIUII (CE
12	Dated: August 13, 2008	ST	ENNETT/CASINO HN P. STENNETT	
13		BA	ARBARA A. CASI	NO
14				
15		Ву	: /s/ John P. Sten John P. Stennet	<u>nett*</u>
16				laintiffs and MICHELE
17			SMITH FREGO	
18			(*Signed electro	onically by written nted on 8/13/2008.)
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PHILLIPS, LLP ATTORNEYS AT LAW LOS ANGELES			ENTICATING EXHIBITS	5

EXHIBIT A

Stonebridge Life Insurance Company

A Stock Company

Home Office: Rutland, Vermont

Administrative Office: 2700 West Plano Parkway, Plano, Texas 75075

ACCIDENTAL DEATH AND DISMEMBERMENT COVERAGE

Stonebridge Life Insurance Company (herein called "we," "us" or "our") has issued this Policy to the Insured (herein called "you," "your" or "yours"). Coverage is provided to you, the Insured, and any covered family members, subject to all the exclusions and provisions of this Policy.

THIRTY DAY RIGHT TO EXAMINE POLICY

If you are not satisfied with this insurance, you may void it by returning this Policy within thirty days after you receive it to our Administrative Office. You will receive a full refund of any premium you have paid.

NONCANCELABLE AND GUARANTEED RENEWABLE FOR LIFE

You may keep this Policy in force for as long as you live. We do not have the right to:

- 1. cancel your coverage; or
- 2. place any restriction on your coverage while it is in force; or
- 3. refuse a premium paid on or before the date due or within the Grace Period.

Renewal premiums may not be increased.

If a person is added to or removed from coverage, the premium amount may be adjusted to reflect the change in coverage. Renewal premiums are due on the first day of each renewal period. Your coverage will expire if the premium is not paid by the end of the Grace Period.

This Policy is signed for Stonebridge Life Insurance Company by its Secretary and its President.

Secretary

President

Countersignature of licensed resident agent (if required by your state)

SCHEDULE OF INSURANCE

POLICY NUMBER:

72A45P0585

EFFECTIVE DATE:

November 07, 2005

INSURED: DIANE HUSSAIN

606 8TH ST APT 2

EUREKA CA 95501

MONTHLY PREMIUM:

\$5.65

FAMILY COVERAGE:

NO

SCHEDULE OF INSURANCE

PRINCIPAL SUMS:

AMOUNT

COVERED PERSONS UNDER AGE 70: PART I

INSURED

SPOUSE

EACH CHILD

COMMON CARRIER

\$50,000

NIL

NIL

PART II

PRIVATE PASSENGER AUTOMOBILE AND LAND

\$50,000

NIL

NIL

MOTOR VEHICLE

PART III ALL OTHER INJURIES

\$50,000

NIL

NIL

COVERED PERSONS AGE 70 AND OVER:

BENEFITS ARE ONE-HALF (50%) OF THE ABOVE AMOUNTS IF, BEFORE THE DATE OF INJURY RESULTING IN A COVERED LOSS, THE COVERED PERSON HAS ATTAINED AGE 70.

GUIDE TO POLICY PROVISIONS

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Exclusions	3

DEFINITIONS

INSURED (herein called "you," "your," or "yours") means you, the Insured named on the Schedule of Insurance, provided coverage has become effective.

COVERED PERSON means, for coverage purposes only, you and the following persons, provided coverage has become effective:

- 1. your spouse; and
- each of your children (including step-children, or legally adopted children, children who have been legally placed for adoption, or children in the waiting period prior to finalization of proposed adoption by either you or your spouse) 18 years of age or younger, unmarried and dependent upon you for support and maintenance; and
- 3. your unmarried child 19 years of age but less than 23 years of age if the child is:
 - a. a full-time student; and
 - b. dependent upon you for support and maintenance.

INJURY for which benefits are provided, means bodily injury caused by an accident which occurs while this Policy is in force. The Injury must be the direct cause of Loss, independent of disease or bodily infirmity.

INJURED means having suffered an Injury.

LOSS means:

- 1. Loss of Life;
- With reference to hand or foot, complete severance at, through, or above the wrist or ankle joint;
- 3. With reference to eye, the total and irrecoverable loss of the entire sight thereof.

Loss does not mean loss of use.

PRIVATE PASSENGER AUTOMOBILE means a four-wheeled automobile which is required to be registered with the state for use on public highways; which is not registered to carry passengers for hire and which is of the pleasure type, including a station wagon, van, jeep, or truck type with a factory rating load capacity of 2,000 pounds or less or self-propelled motor home type vehicles.

Construction equipment, recreational vehicles, motorcycles and motorscooters are specifically excluded under Private Passenger Automobile. Farm equipment and forklifts, unless specifically designed and primarily used for transportation, are excluded.

LAND MOTOR VEHICLE includes any gasoline, diesel, or similarly powered vehicle which is required to be D454RCA

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General Provisions	4-5
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Policy Schedule of Insurance	1
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Schedule of Benefits	3
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registered with the state for use on public highways, customarily used for transportation on land and for which the operator is required to be licensed.

This category includes, but is not limited to the following:

- 1. vehicles considered "Private Passenger Automobiles" by the Policy; and
- 2. vehicles with more than four wheels, such as tractor/trailer rigs and flat bed trucks.

Construction equipment, recreational vehicles, motorcycles and motorscooters are specifically excluded under Land Motor Vehicle. Farm equipment and forklifts, unless specifically designed and primarily used for transportation, are excluded.

COMMON CARRIER means a public conveyance which is

- 1. licensed to transport passengers for hire; and
- provided and operated (a) for regular passenger service by land, water, or air, and (b) on a regular passenger route with a definite regular schedule of departures and arrivals between established and recognized points of departure and arrival; and
- 3. provided and operated under a Common Carrier license at the time of Loss.

ELIGIBILITY

If you have reached the age of 18 years and are under the age of 81, you are eligible to apply for coverage under this Policy for yourself and for your eligible family members.

EFFECTIVE DATE

Coverage shall become effective on the date shown on the Schedule of Insurance.

TERMINATION

Your insurance ends on the last day of the period covered by your last premium payment, subject to the Grace Period provision.

COVERAGE

PART I - BENEFIT FOR TRAVEL BY REGULARLY SCHEDULED COMMON CARRIER

If a Covered Person is Injured as a direct result of a collision, crash or sinking of a duly licensed Common Carrier while riding as a fare paying passenger inside such Common Carrier, we will pay the applicable benefits listed in Part I of the Schedule of Insurance for the appropriate Loss as shown in the Schedule of Losses and Benefits.

PART II - BENEFIT FOR TRAVEL BY PRIVATE PASSENGER AUTOMOBILE AND LAND MOTOR VEHICLE

If a Covered Person is Injured:

- by being struck by a Private Passenger Automobile; or
- 2. as a direct result of a collision or crash of a Private Passenger Automobile; or
- 3. by being struck by a Land Motor Vehicle; or
- 4. as a direct result of a collision or crash of a Land Motor Vehicle,

we will pay the applicable benefit specified in Part II of the Schedule of Insurance for the appropriate Loss as shown in the Schedule of Losses and Benefits.

PART III - BENEFIT FOR ALL OTHER INJURIES RESULTING IN A LOSS

If a Covered Person is Injured in an accident not covered under Part I or Part II and not otherwise excluded in the Policy, we will pay the applicable benefit specified in Part III of the Schedule of Insurance for the appropriate Loss as shown in the Schedule of Losses and Benefits.

SCHEDULE OF BENEFITS

ACCIDENTAL DEATH AND DISMEMBERMENT

If, as a result of Injury occurring under any of the circumstances listed in the Coverage section, and not otherwise excluded, a Covered Person suffers any of the following Losses within 90 days after the date of an accident which caused such Injury, we will pay the benefit shown below:

SCHEDULE OF LOSSES AND BENEFITS Life THE PRINCIPAL SUM

Both Hands or Both Feet

or Sight of Both Eyes
One Hand and One Foot
One Hand and Sight of One Eye
One Foot and Sight of One Eye
The Principal Sum
The Principal Sum
The Principal Sum
The Principal Sum

One Hand or One Foot or

Sight of One Eye One-Half the Principal Sum

Principal Sums for each Covered Person are as specified on the Schedule of Insurance. Only one of the above benefits, the largest, will be paid for multiple Losses that result from one accident for each Covered Person.

EXCLUSIONS

No benefit shall be paid for Injury that:

- 1. is intentionally self-inflicted, while sane or insane;
- is due to a war or act of war, whether declared or not;
- is caused by or results from the Covered Person's taking or using any narcotic, barbiturate or any other drug, unless taken or used as prescribed by a Physician;
- is caused by or results from the Covered Person's blood alcohol level being 10 percent weight by volume or higher;
- occurs while the Covered Person is acting either as a pilot or crew member or while a passenger, other than a fare paying passenger, in any aircraft;
- had as its contributing cause, the Covered Person's commission of or attempt to commit a felony, or had as its contributing cause, the Covered Person's being engaged in an illegal occupation; or
- is due to disease, bodily or mental infirmity, or medical or surgical treatment of these.

REDUCTION

All benefits will reduce by one-half (50%) of that otherwise payable if, before the date of Injury resulting in a covered Loss, the Covered Person attains age 70.

BENEFICIARY

All benefits are payable to you, if living. Unless you specify otherwise, any other benefit due for Loss of Life will be paid as follows:

- 1. At your death, it will be paid to your spouse, if living; otherwise, to your estate.
- 2. At the death of any other Covered Person, it will be paid to you, if living; otherwise, as though it were payable under (1) above.

Any payment made under this section will fully release the Company to the extent of the payment. The beneficiaries designated may be changed in accordance with the Change of Beneficiary Provision, subject to the community property laws in your state of residence.

CONTINUATION OF COVERAGE

In the event of your death, your covered spouse, if any, shall be deemed the Insured. Otherwise, the coverage will terminate on the next renewal date. If your spouse ceases to be your spouse for reasons other than your death, your spouse will no longer be covered as of the next monthly renewal date.

Coverage for any covered child insured under this Policy shall terminate as of the next renewal date after the covered child's marriage or 19th birthday. If any covered child is unmarried, a full-time student, and dependent upon the Insured for support and maintenance, coverage under this Policy shall terminate as of the next renewal date after the covered child's 23rd birthday.

A covered child may continue to be covered if upon reaching the limiting age the covered child is, and continues thereafter to be, both:

- incapable of self-sustaining employment by reason of mental or physical handicap; and
- chiefly dependent upon you for support and maintenance.

You must write and tell us a covered child meets the above requirements for Continuation of Coverage. We may require periodic proof of continued eligibility for Continuation of Coverage.

CONVERSION

The covered child or spouse whose coverage ceases may apply for his or her own Policy within 31 days after coverage ceases. No evidence of insurability will be required. The new Policy will be issued:

- on our form at that time with benefits most like but not greater than those of this Policy; and
- 2. at the adult rate for the attained age of the person at that time.

The Effective Date of coverage under the new Policy will be the same as the Effective Date of the conversion. We will not pay under the new Policy for any Loss for which benefits have been paid under this Policy.

NEWBORN CHILDREN

If your spouse or any children are already covered under this Policy and a child is born to you, the benefit amount for the newborn child will be the same as for other children. If no other child is covered under this Policy, the benefit will be the amount which would have been issued to children as of the Effective Date of this Policy.

If neither your spouse nor another child is covered under this Policy, you must notify us of the birth of a child if you wish to add child coverage. There will be an increase in the premium as of the next monthly renewal date after we have been notified of the child's birth. The child is covered free from the time of notification until that date. The child will be dropped from coverage if the increased premium is not paid within 31 days after that due date. The child's benefit will be the amount which would have been issued to children as of the Effective Date of this Policy.

GENERAL PROVISIONS

ENTIRE CONTRACT; CHANGES

This Policy, including the application, and any endorsements or attached papers, if any, constitutes the entire contract of insurance. No change in this Policy will be valid until approved by an executive officer of the Company and unless such approval is endorsed hereon or attached hereto. No agent has authority to change this Policy or waive any of its provisions.

INCONTESTABILITY

We cannot contest this Policy except for fraud or for not paying premiums.

CHANGE OF BENEFICIARY

You may change the beneficiary at any time by writing to us at 2700 West Plano Parkway, Plano, Texas, 75075. Once we record the change, it will take effect as of the day you signed the request, subject to any claim payment made before such recording. The consent of the beneficiary is not needed for the change, unless the beneficiary designation was irrevocable. Any change of beneficiary is subject to Community Property laws in your state of residence.

PAYMENT OF PREMIUM

Premiums are payable at our Administrative Office. Each premium, after the first premium, is payable in advance on or before its due date. The due date means the date a premium is due to maintain coverage, exclusive of the Grace Period. Failure to pay any premium, after the first premium, on or before its due date will constitute default in payment of premium as of the due date.

GRACE PERIOD

If a premium is not paid when due, the insurance shall be in default. We will allow a 31 day Grace Period to pay each premium after the first one. If a premium is not paid on or before the end of the Grace Period, the insurance shall terminate, effective the last day of the period covered by your last premium contribution.

REINSTATEMENT

Your Policy will lapse if you do not pay your premium before the end of the Grace Period. If we later accept a premium and do not require an application for reinstatement, that payment will put the Policy back in force. If we require an application for reinstatement, this Policy will be put back in force when we approve it and the required premium is received. If we do not approve it, the Policy will be put back in force on the 45th day after the date of application for reinstatement, unless we give you prior written notice of its disapproval.

The reinstated Policy only covers Loss due to an Injury caused by an accident that occurs after the date of reinstatement. In all other respects, you and we have the same rights under the Policy as were in effect before it lapsed, unless special conditions are added in connection with the reinstatement.

NOTICE OF CLAIM

Written Notice of Claim must be given to us within 30 days after any Loss covered under the Policy occurs or as soon as possible thereafter. You may give the notice or may have someone do it for you. The notice should include your name and Policy Number as shown on the Schedule of Insurance. Notice should be mailed to us at P.O. Box 869090, Plano, Texas, 75086-9916.

CLAIM FORMS

When we receive the Notice of Claim, we will send the claimant forms for filing Proof of Loss. If we do not send the forms within 15 days, the claimant can meet the Proof of Loss requirement by providing us with a written statement describing what happened. We must receive this statement within the time given for filing Proof of Loss.

PROOF OF LOSS

Written Proof of Loss must be given to us within 90 days after the date of the Loss or as soon as possible thereafter. Proof must, however, be furnished no later than one year from the time it is otherwise required, except in the absence of legal capacity.

MISSTATEMENT OF AGE

If the age of a Covered Person has been misstated, all amounts payable shall be in the amount the premium paid would have bought for the correct age. If, as a result of misstatement, we accept a premium for any period when coverage would not normally have been in effect, then our liability for such period shall be a refund, upon request, of all premiums paid for such period.

TIME OF PAYMENT OF CLAIMS

We will pay all benefits covered by the Policy as soon as we receive proper written Proof of Loss sufficient to determine liability.

PAYMENT OF CLAIMS

All benefits are payable to you, if living. Loss of Life benefits for you are payable in accordance with the beneficiary designation in effect at the time of payment. Any benefits, other than for Loss of Life, unpaid at your death may be paid, at our option, either to your beneficiary or estate.

ASSIGNMENT

You may assign any rights you have under this Policy, including the right to receive benefits. We are not bound by any assignment unless it is in writing and recorded by us. We are not responsible for the validity of any assignment. The rights of an assignee will at all times be subject to any indebtedness to us.

PHYSICAL EXAM AND AUTOPSY

At our expense, we shall have the right to examine a Covered Person when and as often as is reasonable while a claim is pending. We may also have an autopsy done in case of death where it is not forbidden by law.

LEGAL ACTIONS

No action can be brought to recover on the Policy for at least 60 days after written Proof of Loss has been furnished. No such action shall be brought more than 3 years after the date Proof of Loss is required.

CONFORMITY WITH STATE STATUTES

The provisions of this Policy must conform with the laws of the state in which you reside on the Effective Date. If any do not, they are hereby amended to conform.

OTHER INSURANCE IN THIS COMPANY

If a Covered Person is insured under more than one Accidental Death and Dismemberment policy in effect with us at any one time, our maximum liability is limited to the lesser of the total amount of benefits payable under all such policies or \$1,000,000. Upon discovery of duplication in excess of our maximum liability, we will refund all premiums paid for all such policies.

EXHIBIT B

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Humboldt County Coroner's Office

Name: Hussain, Diane

Case # C-067-07

On 04/09/2007 at 1115 hrs. I received a call at the Coroner's Office from dispatch at Eureka Police Department. Police were requesting that I respond to 606 8th St #2 in Eureka. A 59 year old female had been found dead at that location. I took this as Coroner's case #067-07 and responded to the address.

Outside the apartment I was met by Officer Laird with the Erreka Police Department. Officer Laid identified the decedent as Diane Geraldine Hussain, DOB Laird Stated the decedent had been found by her brother, Calvin Hall who had come over to check on ner after he could not call her and noticed that her car was at the residence. Hall made entrance through the side window because the apartment door was locked. The decedent had a recent history of bronchitis and had gone to the hospital on 04/07/2007 and was seen at urgent care, and released. The decedent was seeing Dr. Chen and was receiving medication from her, which included Oxycodone.

I went inside the apartment where I located the decedent in the one and only bedroom. The decedent was dressed in a nightgown, sitting in the prayer position along side the bed. I took several digital photos before proceeding further. I located several medications in the room. The decedent was being seen by Dr. Chen. One bottle had contained 180 Oxycontin that was 40 mg each. The prescription had been received on March 27, 2007. The bottle only had one tablet remaining. I also found one Oxycontin tab on the bed that had been spilled out of the opened bottle. The Oxycontin bottle was the only medication bottle that the lying on the bed. The rest of the medications were on the night stand. Those medications included Cephalexin, Metoprolol, Glimepiride, Diltiazem, Norvasc, Metoclopramide, Famotidine, Temazepam, and Bactrim. I also found an empty bottle of beer on the night stand.

The body was warm to the touch where it made contact with the bed. Lividity was proper for position and did not blanch under hard pressure. Rigor was firm in the extremities, but broke easily. No sign of trauma was noted anywhere on the body. The decedent's left foot was bandaged up and I later learned she had an ulcerated foot.

With the help of the on scene officer, I secured the body of the decedent into the Coroner's vehicle.

I began my interview with brother, Calvin Hall. Hall stated his sister had Diabetes, and possible heart problems. Hall stated his sister drank some alcohol and did smoke. I informed Hall that several Oxycontin were missing out of the bottle.

I returned to the Coroner's Office where I drew postmortem blood from the decedent. I sent the blood to Central Valley Toxicology for a drug and alcohol screen. The results of that test have become a part of this file. The lab reported finding a potentially toxic amount of Oxycodone in the decedent's blood.

I called and spoke to Dr. Chen. Dr. Chen stated she had recently upped the dosage of Oxycodone because the previous amount was not effective anymore. Dr. Chen stated the decedent did have diabetes and high cholesterol.

Investigator:

ROY W. HORTON, Deputy Coroner

Date Signed:

Case 3:08-cv-01466-JCS

Document 17-2

Filed 08/13/2008

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Page: 3

Humboldt County Coroner's Office

Name: Hussain, Diane

Case # C-067-07

I signed the cause of death as Oxycodone Intoxication. The manner of death was struck as accidental. The body of the decedent was released to Empire Mortuary Service. No autopsy was performed. No further action by this department is anticipated at this time. Case cleared.

RECEIVED MAY 21 2017 CLAIMS

Investigator:

ROY W. HORTON, Deputy Coroner

Date Signed:

Case Name:

TOXICOLOGY NUMBER: CVT-07-4761

Hussain,

Diane

Specimen Description:

Delivered by

Date

Received by

Filed 08/13/2008

Date

Request:

C-067-07

Requesting Agency

Report To

Humboldt County Coroner 3012 "I" Street Eureka CA 95501

RESULTS

Blood Reference Ranges:

Blood Amitriptyline Ranges Effective Level: (0.05 - 0.3 mg/L) Potentially Toxic: (0.5 - 2.0 mg/L)

Blood Oxycodone Ranges Effective Level: (0.005 - 0.05 mg/L) Potentially Toxic: (0.2 mg/L)

Blood Metoclopramide Ranges Effective Level: (0.04 - 0.15 mg/L) Potentially Toxic: (0.1 - 0.2 mg/L)

Blood Trimethoprim Ranges Effective Level: (1.5 - 2.5 mg/L) Potentially Toxic: (15 - 20 mg/L) Blood Nortriptyline Ranges Effective Level: (0.04 -0.3 mg/L) Potentially Toxic: (0.5 - 2.0 mg/L)

Blood Oxymorphone Ranges Effective Level: Not Known Potentially Toxic: Not Known

Blood Temazepam Ranges Effective Level: (0.3 - 0.9 mg/L) Potentially Toxic: (1.0 mg/L)

> RECEIVED HAY 21 201 CLAIMS

B.L. POSE S.N. KIMBI Directors

1580 Tolihouse Fx Clavis, California 93 Phone (559) 323-7 Fax (559) 323-7'y

TOTAL P.02

Analyst

Case Name:

TOXICOLOGY NUMBER: CVT-07-4761

Hussain,

Diane

Specimen Description: 5.5 ml blood (gray top vial) labeled "Hussain, Diane; C-067-07; 04/9/07"

Delivered by

Date

Received by

Date

Federal Express

10-Apr-07

Bill Posey

10-Apr-07

Request:

Complete Drug Screen

C-067-07

Requesting Agency

Humboldt County Coroner 3012 "I" Street

Eureka CA 95501

Report To

Humboldt County Coroner

Attn: Records 3012 "I" Street Eureka CA 95501

RESULTS

Specimen: Blood Sample

Complete Drug Screen: Amitriptyline, Benzodiazepine, Metoclopramide,

Oxycodone and Trimethoprim detected.

No other common acidic, neutral or basic drugs detected.

No blood Ethyl Alcohol detected.

Amitriptyline = 0.27 mg/L

Oxycodone = 0.25 mg/L

Nortriptyline = 0.08 mg/L

Oxymorphone = 0.05 mg/L

Metoclopramide = 0.02 mg/L

Temazepam = 0.05 mg/L

Trimethoprim = 0.16 mg/L

Blood Ranges Attached:

B. L. Posev

April 20, 2007

Analyst

B.L. POSEY S.N. KIMBLE Directors

1580 Tollhouse Road Clovis, California 9361 Phone (559) 323-9941 Fax (559) 323-7502

EXHIBIT C

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CERTIFICATION OF VITAL RECO

County of Humbolds

Durcke, California, 95501

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This is a mub and axact reproduction of the document officially regulated and placed on file in the office of the Humboldt County Local Registron.

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EXHIBIT D

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;		STONEBRIDGE LIFE (h'o +	
	/-	Insurance Company	
		Administrative Office; 2)00 West Plano Parlovay - Plano, Tipous 75075-8200	
		M.y/2,2007	
	4 : 1		
:		Regarding: Diane Geraldine Hail	
		Bretz CA 9550 SSN: REPARED Date of Birth: 12/17	1947
1 .· : : :		we are considering an Accidental Death Benefit claim on the life of Ms. Hussain. In	order to
		illiper drugs at your callest opportunity. Also provide a copy of your office records for	ns for the
		1907.	attach
		Prime advise: The medical condition for which the drug was prescribed;	
			240
.,	•	When the drug was initially prescribed; What was the prescribed dose of each drug; What date was the last refill of each drug?	3/0/
•	<u> </u>	1 Amitriptyline: Neworathy	
ŧ		2 Oxycodone: Cham. 2 Pa.h	
		3) Metoclopramide: GERO	
		Trimethoprino: Sky infection	-
1:		Tomazopam: Sleep D/D	
• •			
		We appreciate your assistance. Please sign and date in the space provided below, and	fax your
		response to us at 972-881-6367. Should you have any questions, please contact me or frie number 1-800-692-5246, extension 6265. My direct number is 972-881-6265, and	our toll-
		andiress is claimsdone acgoints com	
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2350 Bunne Street, Suite A 1055 Gase 3:08-0-01466-JCS Document 17-3 Filed 08/13/2008 CA Page 3 of 943-4593 APR 3 3 2007 WT, 176 T, 97,7 BP, 158/90 /Hole in bootom of D'Soot. Getting worse, B6=49Z

S: CC: Pt. comes in today for abcess on R groin. Diane States that she has noticed a new lesion on her R groin. In fast 2 months she has had a labial cyst and a cyst on Dinferior buttock which were treated of antibotics. Pt. states that she is only taking Levimir Tou and Regular insulin 25 m in Am. The is not taking her BGs at all. She states the reason for this is A pain in hands, legs, feet. She feels depressed because of pain and doesn't want to bother & B63. She was seen yesterday by podiatrist for blister on plantar surface of @ foot. This was treated, pt. is worried that foot looks red and swollen. Stated she will return to podiatrist tomorrow for follow-up. She also c/o memory loss, she gets into carand forgets where she is driving to. Last FBG = 491 3/2/9 AIC = 15.4 4/12/1. Pt. States she Knows she must go back to taking BG3 and using Sliding Scale. She is taking all of her other medication. c/o loss of appetite. O'. Gen: Tired appearing 59 yo black female in NAD. Skin: () foot & figure & coban dressing covering distal portion. Skin by great toe dusky in color otherwise tan annexima of great toe dusky in color otherwise tan appearing. Edema Seen under dressing as it is pulled back. (1) lourer buttonly him back. (lower buttock has resolving cyst which has white nodular appearance non functuant. B groin has approx. 75cm circumscribed brown bump. BG in office 492. A: Don Type II, poorly controlled Resolving abcess, Oinferior buttock.

Kaised papular lesion, R groin. EXHIBIT

Over error M. M. A. Meyers RN - Student FNP (Continued next page)

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PATIENT:	HUSSain, Diane		Date of Birth:	12.17.47
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MAY 15 2007 CLAIMS | Case 3:08-04-01466-JCS | Document 17-3 | Filed 08/13/2008 | Page 8 of 94 |
| 10/4/06 WT 264 | T98.3 | BP 170 | P72 | R./b F/4 |
| H86 250 s | lab technology |
| What I b # |
| 1 = b s | D |
| P(Cobb - apt (C) |
| C U RVIN

CURVIU CJA (B) R 3 rd toe (EX) old:

A/DM FX 12 3rd toe

Pl ref Bone Density

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Estimas

Korky meds

CC

SEP 14 2006 T. 98,0 WT. 208 BP/Gy 88 R./6

Ve) foot, middle toe. Getting downer.

R 3rd toe swelling, color A

x 3 L pan

Chronic pain

ex: R 3rd toe prox 2 toe

mild swelling, & TTP

& purple color change

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Dorpal ledal

pulses 2+ @

A/R 3rd toe abrel.
? Thromboen 601;

reflodizhst V Fibrihogi-PT/ethe 5512 O-Pimer

- S: Last week had a left labial abscess that was drained. Started on Bactrim. It's gotten better, but she had to take more of her pain medications. She's here for a wound check. No fevers.
- O: The left labia has a firm area, with a small draining incision that is about .5cm. No drainage expressed today. No local erythema. No signs of cellulitis.
- A: RECENT LEFT LABIAL ABSCESS, IMPROVED OVER THE LAST FEW DAYS Still on antibiotics.
- P: I did refill her pain medication earlier due to the abscess pain. Continue warm compresses. Expect the induration to resolve gradually with time. If it doesn't, come back.

Chta Chen, M.D./ts

PAUG 29 2006

T. 98,3 BP. 146/98 P. 101 / Dhip parh - M.

NT. 211 T. 98,3 BP. 146/98 P. 101

Hussain, Diane 08/29/06

07/24/06

- S: Here to complain about left hip pain for about a week. Pain is severe all over the left hip, down to the right posterior and lateral part of her leg and thigh. She has had no traumas. No new or unusual activities. She's still taking her usual pain medications. She says activities don't make the pain worse.
- O: Hip has full range of motion. No tenderness to palpation at the greater trochanteric bursa. Palpation of the back does reproduce a little bit of pain. She ambulates quite normally.
- A: ACUTE LEFT HIP PAIN, UNCLEAR ETIOLOGY
- P: I'm going to send her for an X-ray of the left hip. I'll call her in a couple of days with the results. If they're negative, she may have an exacerbation of sciatica. We'll send her to physical therapy.

Chia Chen, M.D./ts

JUL 0 3 2006 WT, 220 T, 97,2 BP, 152/86 P.68 R.14 / FW-M.

HSBAIC 15.5 -> 11.9 Setter.

BUN/Cr = 29/1.8 Starce

Chal = 265/243/5-1/176

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med Review

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Denozapni 40mg BrD - doice

Glavi I. 15mg & (Song)

Metoprolol 100mg BrD

7 Norvasc 10mg gd.

Plavix 75mg gd.

Restoril 30mg gtts.

Clonidine .3mg patch.

Mivapax 1.5mg gtts.

Mivapax 1.5mg gtts.

Oxyconin 40mg ff g80

(antis/logalarins

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A/DM Tchof Qating D/O

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MAY 1 5 2007

CLAIMS

Case 3:08-cv-01466 VCS Document 17-3 Filed 08/13/2008 Hussain MAY 0 1 2006 T. 97. 4 BP. 140/86 P. 72 R. 14/FU MAY 0 1 2006 fantis 60 m gts Regular 25 1 Pre-neads Been on eating Binge. since resterted would needs to make good food chooses has been eating junk food لها pt. the early occurry stake generally sweets or leftover In dealing = 4 compution " . 6 ambling - Shopping - Shoking - Eakly sheats Will Start Swimming A) Compulsions OCD e Easter Stal MO DM 1/ protac romg gd phantermine 15 mg gd > Region

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phentermine 15 mg gd

choose complex CAO's, bowlat over

V tabs i mus

Case 3:08-cv-01466-JCS Document 17-3 5iled 08/13/2008 Page of Surfice Street, Suite A Fureka, CA 95501 • (707)443-4593

HEBAIC 9.4 -> 11.5 -> 15.5 Whisulin for 2 mos Currently on lantus 70 m gHs Since str Feb 22 06 Also went back to Regular 25 m sAc. BG's - 250-350 pow.

pepced 20 mg occ

Amage 2 mg gd

Diltidzen 120 mg gd

pravachol 20 mg gd

Elavil 50 mg tir 5 lts

pretoproloi 100 mg 4x/d

plavix 75 mg gd

Temaze pam 30 mg 9t5 prav.

Catepress-3

M. Vapox. 5 mg glts

Pl Curb Nt three eating! comb lock on door1800 15 called =

Vinos.

Chen

Hussain, Diane 6. FEB 222006 WT 198 796.9

P.92 R18 bad yeast infection

1/24/06 lds 1 BM/cr

insulin lannis bome glts 25 pe proor to meals.

gluwphage Ama yl.

12st few day: AM BG 250.

Ms clear c mm wyc MB Ext deden. Wt 1154

At Reval insuf. Recent hematura anemiz chroniz nestlessleg-improved P/ D/C glucophage,: 1 insuln - 70m 1tts. Get pulso. nend varel. Stop Benazapril Diltizzen CD

HUGSSC 3:08-6V-01468-JCS. Document 17-3 Filed 08/13/2008 Page 16 of 94 NOV 0 9 2005 W T. 2/2 T. 98/1 BP 150/78 F. 68 R. 16 / FU Cymbaltan consed diarrhea?
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-26-06 (alled in Bactrom DIS BID x 7 days to received

SLIC 0124

MAY 15 2007

GLAIMS

Gase 3:98-cv-01466 JGS & Document 17-3 Filed 08/13/2008 SEP 07 2005 WI 214 T. 97.2 BP. 176/96 P. 76 P. 18/ TSH 0.94 Fr T40, 74 Thyroid 45 (+) L labe Mass Ganble-end of worth, (ont. Cymbulta - couldn't wean down. find it help to her i sleg. A). Thyroid Mass (1) my Micherthyroid gain Efit Mas OCT 0 6 2005 WT. 213 T. 97.6 BP. 150/88 P. 76 R. 16/ FU-M Thyroid scan @ pt. given flushot as me Im (L) delkid med List reviewed pt on Pravachol 20mg 1d + (see list) per CC Insulin and Regular 25 BID lands 60 9Hz MS Same eunnaom my crub A) DM tchol eating 0/0-P/ Fluvox tody V LFT. HOALC, Lpid to Tho

AUG 6 4 2005 WT 217 T 98 H BP, 162/86 K. 64 R, 16 /

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Hussain, Diane 07/01/05

- S: Here to follow up. She did see Dr. Ann Meyers, who found a thyroid nodule, which Diane says has been there for two years. She also suggested adding Glucophage again. She gave her some type of cholesterol-lowering medication. Diane has problems with her liver, so she cannot take statins. She hasn't taken her blood pressure pill today, and her blood pressure is high. Her hemoglobin A1C is now 9.4, steadily increasing over the last couple of years. CMP is okay. Her BUN/creatinine is 21/1.5. Cholesterol is high at 259. Total triglycerides 379. HDL 48. LDL 135. She says she's not really checking her blood pressures or blood sugars. She's only using 10 units of Lantus in the morning. She's not watching her diet very closely. When she is in the hospital on a hospital diet, she tends to have very good blood sugars with insulin coverage. She's on a bunch of new medications since last hospitalization; she's confused about when to get refills, and she tends to run out.
- O: She does appear somewhat depressed. Her affect is flat. Eye contact is good. Speech and motor functions at baseline.
- A: 1. DIABETES
 - 2. SOME DEPRESSION
 - 3. HIGH BLOOD PRESSURE
 - 4. DIFFICULTY ADHERING TO A COMPLCATED REGIMEN FOR TREATMENT OF DIABETES AND HIGH BLOOD PRESSURE
 - 5. THYROID NODULE, LEFT THYROID
- P: I'm going to send her for a thyroid ultrasound, TSH, and T4. Asked her to check her blood sugars tid and blood pressures qd. Fring back the diary for both. Bring all her medications in next time for review. We're going to try Glucophage 500mg 1 po qd again. I'll see her in two weeks.

Chia Chen, M.D./ts

BENCAR-

MAY 15 2007

CLAIMS

// / / Document 17-3 Filed 08/13/2008 Page 20 of 94 WI 211-T. 96,7 BP. 160/94/1.80 R. 16/ FU Kecently nosy HIN cephalopothy = R sided priesthesis @ home BP 160/80's Am/PM OCC SBP>ZOUS Same Meds Benazapril 40 mg B. D metoprolol in moio New meds. PLKUIX D Mirapex >@ oxyconth your QID pain BG-200's the prew nerosis Skill mild & semichba (B) Wext Riext pan returning A/ HIN encephappetry: R paresthera now infrantp [hronz nevopethy - awaity symbol to (P) 190 sleep up wen - restlem leg syndrome -> Mirgrex (P)

The Add back chomidate patent

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MAY 15 2007

CLAiiviS

Case 3:08 6v-0146	11 .	ment 17-3		/13/2008	Page 22 of 94	
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Filed 08/13/2950 But ne 95:01 EUREKA, CA 95:501 (707) 443-4593

See PE form &

RECEIVET MAY 15 2007 CLAIMS

JAN 1.3205 WT. ZII T. 98.8 BP. 182/102 P.80 R/6 /FU, UTZ?

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REDWOOD FAMILY PRACTIC

Patient Name Dianes Jussian	
Allergies PCN	Date of Birth 10/17/47

MEDICATION REFILLS

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Forms/med-fill/4/95

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MAY 1 5 2007

CLAIMS

Forms/med-FII/4/95

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Forms/med-till/4/95

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	01- 17	•	MAY 15 2007 CLAIMS

R-DWOOD PODIATRY GRO

WILLIAM ANDREWS, D.P.M.
BERTRAM STEEL, D.P.M.
CHRISTINE DOBROWOLSKI, D.P.M.
PHILIP ALWAY, D.P.M.

DEPH OCT 11 2006

1626 Myrtle Avenue, Suite A Eureka, California 95501-1457 (707) 441-1112 Fax: 441-1711

September 20, 2006

Chia Chen, M.D. 2350 Buhne Street, Suite A Eureka, CA 95501

RE:

Diane Hussain

1

Dear Chia:

Thank you for having Ms. Hussain appoint to our office for evaluation of discoloration on the top of her right foot. She says she doesn't remember any trauma. She does have severe diabetic neuropathy. There is a darkly discolored area over the right 3rd metatarsophalangeal joint. There is no pain to palpation or range of motion. X-rays are negative to any bone or joint pathology. I think she must have traumatized this area and not known what she had done due to her neuropathy.

I told her to continue to watch it, make sure there wasn't increased swelling or the coloration change. I also told her the discoloration should start to recede and the swelling should resolve within a few weeks. If not, I have asked her to reappoint.

Sincerely,

Bill Andrews, D.P.M.

BA:klr

CU



Case 3:08-cv-01466-JCS

PHYSICAL THERAPY LOWER QUARTER INITIAL EVALUATION

OCCUPATION PHYSICIAN PATIENT Dr. Chen HUSSAIN, DIAME # OF VISITS SOC DATE ONSET DATE DOS 9/15/06 12/17/47 August 2006 TREATMENT DIAGNOSIS PRIMARY DIAGNOSIS Left low back, groin, and inner thigh pain Left sciatica PERTINENT MEDICAL HISTORY Diane reports a sudden onset of left buttocks pain that radiated around into the left grain and posterior thigh. The pain was severe and she limped. Now, she just has "twinges" of pain when she first goes to bed. She suports similar episodes of just the leg pain over the past 15 years.

Patient's medical history includes diabetic neuropathy, restless leg (and arm) syndrome, and swelling with discoloration of the right middle toe (scheduled with DPM).

CURRENT STATUS

PAIN:

0-4/10 in left buttocks, groin, and thigh.

FUNCTIONAL LIMITATIONS:

Reported severe difficulty due to pain with

walking but now much better.

Filed 08/13/2008

STANDING OBSERVATIONS:

Lumbar spine:

Pelvis:

Right scoliosis.

Level.

GAIT:

No significant deviation.

STANDING SACROILIAC SCREEN:

Standing flexion:

Left positive for sacrolliac joint dysfunction.

SEATED SACROILIAC SCREEN:

Seated flexion:

Left positive.

SEATED SLUMP TESTING:

Positive bilaterally for myofascial tightness with full knee extension, ankle dorsiflexion, trunk

slumping, and neck flexion.

SUPINE PASSIVE STRAIGHT LEG RAISE:

Asymptomatic bilaterally.

CORE STRENGTH:

Poor.

SUPINE POSTURE:

lliac crests:

Level.

ASIS:

Level.

Leg length:

Equal.

C6925

Physical Thecapy Center 2822 Harris Street, Bureka, CA 95503 707.445.8881 (P) 707.445.8883 (F) HUSSAIN, Diane 9/15/06

ASSESSMENT

Physical therapy findings today were not consistent with lumbar radiculopathy. Some tests and her history suggest a mostly resolved pelvic girdle dysfunction. Since her symptoms now are minimal, treatment was deferred for one month to see if she stabilizes. We will then progress to core stabilization exercises to decrease her risk of recurrence.

TREATMENT PLAN

Home exercise program

Modalities PRN

Z Spinal stabilization training

Manual therapy PRN

@ Patient education

28 Stretching

FREQUENCY & DURATION Two times per week for 4 weeks. REHAB POTENTIAL Good for goals set.

GOALS

1) Patient will be independent with a home management regimen to prevent or minimize pain while performing her usual activities.

2) Patient will demonstrate increased strength and endurance of lumbopeivic stabilizers.

Thank you for referring this patient to Vector Physical Therapy.

9/15/06

RECEIVE-MAY 15 2007 CLAIMS

HUMBU. I NEUKULUGICAL MEDICAL GREET, INC.

Board Certification in Clinical Neurology . Neurophysiological Testing . Sleep Medicine.

2828 O'Nell Lane, Euroka, California, 95503 celephone (707) 443-9385 Fax (707) 443-0258

John P. Gambin, M.D. Kurt M. Osborn, M.D. Thomas F. Krenek, M.D. Donald J. Iverson, M.D. Meliasa A. McKenzic, D.O. Allan J. Hruby, P.A.

21 October, 2005

Chia Chen, M.D. 2350 Buhne Street Eureka, CA 95503

RE: HUSSAIN, DIANE

Dear Chia,

Diane comes in today, at the request of Dr. Gambin, for adjustment of her medications. She has sleep apnea, as well as fairly severe restless leg syndrome, which has responded nicely to Mirapex, currently at 0.5 mg at h.s. She still has difficulty feeling her feet. She tells me that yesterday she was wearing her slippers, and found a toy car in her slipper and was totally unaware that this was present, resulting in some bruising of her feet.

With regard to her sleep eating disorder, Dr. Gambin had suggested using something like a bicycle chain to remind her not to get up at night and eat. She states that she smokes in bed and that she is afraid she might burn up. She refused to quit smoking.

PLAN:

She will continue with Cymbalta and Mirapex. I suggested that she get a lock for her refrigerator door and she told me that her grandson was going to be moving in with her temporarily in the next three to four weeks. She will follow up again here at the office.

Sincerel

BUD HRUBY, PA

JOHN P. GAMBIN, M.D.

BH/amj

Cilsag

T NEUROLOGICAL MEDICAL GI-UP, INC.

HUMBG in Clinical Neurology . Neurophysiological Testing 2828 O'Nell Lane, Eureka, California 95503 Telephone (707) 443-9385 Fax (707) 443-0258

John P. Gambin, M.D. Kurt M. Osborn, M.D. Thomas P. Kronek, M.D.

Donald I. Iverson, M.D. Melissa A. McKenzie, D.O. Allan J. Hruby, P.A.

20 September, 2005

Chia Chen, M.D. 2350 Buhne Street Eureka, CA 95503

RE: HUSSAIN, DIANE G.

Dear Chia,

I saw Diane Hussain in consultation today, at your request. Thank you very much for referring her for evaluation of her altered sleep behavior.

She is a 57-year old, right-handed, African-American woman, who has documented obstructive sleep apnea, treated with CPAP at 11 cm. She has been using that successfully for ten years, and if she forgets to use it she wakes up with palpitations, shortness of breath and chest pain. She also uses temazepam to help her get to sleep.

The problem that she presents with is that of sleep eating. She states: "I get up in the middle of the night and cook food, bizarre food combinations". She says that she has put "an alarm on the door", but she seems to be able to turn it off in her sleep and still make her way into the kitchen to eat.

She worries about having "sometimes psychotic overtones" with her eating behavior. For example, she states that she got up the other night and, as it turns out, sliced up a watermelon into small pieces, and thought that she was taking it into one of her spare rooms where she presumed there were migrant workers, even though this was not a reality.

She certainly woke up in the morning with the watermelon scattered over her bed.

cicy/12

Page 2 TO: CHIA CHEN, M.D. 9-20-05 RE: DIAME HUSSAIN

She also described another situation where she woke up covered in brown, gooey, stuff that turned out to be connected to an empty half gallon carton of chocolate ice cream. She really does not want to do this, and has managed to somehow lose weight anyway, which is paramount, given her large number of other medical problems, which will be described below.

She does tell me that she has been sleep-walking for twenty-five years. She usually knew this to be the case when she would be awakened by people in the grocery stores. It seemed that her sleep-walking usually led her to a grocery store, so there is an eating theme to all of her sleep behaviors, most, if not all of which, she has no recollection of.

Past Medical History:

The patient has disbetes, diagnosed for eight years, with at least ten years of peripheral neuropathic symptoms preceding the onset of the diagnosis. She is treated with insulin, Glucophage and Amaryl. For her neuropathy, she is using Amitriptyline 50 mg nightly and Cymbalta 30 mg nightly. She has restless leg syndrome, treated seemingly successfully with Mirapex 0.5 mg nightly. Her hyperlipidemia is treated with Pravachol. Her hypertension is treated with Lotensin, Lopressor and Norvasc. She is additionally taking a Catapres TTS3 patch once a week. Her GI hypomotility is treated with Reglan. She had a small stroke, leaving her with numbness of her right body, treated now with Plavix. She has GERD, treated with Famotidine 20 mg daily.

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Page 3 9-20-05 TO: CHIA CHEN, M.D. RE: DIANE HUSSAIN

Review of Systems: She has cold feet, dizziness,

fatigability, intermittent tremor and

nocturia greater than 2.

Habits: She smokes a half a pack of cigarettes daily. She does not drink alcohol or caffeine. She gets a

limited amount of exercise episodically.

Allergies: None known.

Family History: Her mother had hypertension, coronary

artery disease, and some form of mental illness. Her father had hypertension

and coronary artery disease. A

grandmother had diabetes.

Social History: She is single, unemployed, and has a daughter and a grandchild living with

her, having had to come to this area from the Gulf coast hurricane disaster.

General Examination: This is a heavy-set, pleasant,

well-groomed, well-dressed,

relatively quiet woman, in no acute

distress.

NEUROLOGICAL EXAMINATION:

<u>;</u>;

Cranial Nerves: These look normal.

Neck: She has a generous neck. She has a somewhat

pendulous uvula and no significant overbite.

Motor Examination: This is normal to strength throughout,

even in toe dorsiflexion. Her fine finesse movements are slower in the left hand and foot than on the right, with

some synkinetic spread to the right.

Page 4 9-20-05 TO: CHIA CHEN, N.D. RE: DIANE HUSSAIN

Reflexes:

1-2+ throughout, trace at the ankles. Plantar responses are down-going.

Sensory Examination:

There is total absence of vibratory sensation in her toes. She picks it up briefly at mid foot, but it drops out early, in a symmetrical fashion. She has about a 70 percent fading reduction of pin, temperature and touch, symmetrically in both lower extremities. She did not describe any significant sensory asymmetry over her extremities or her face.

Gait: Tandem gait is slightly unsteady. Romberg sways, but she does not frankly fall.

IMPRESSION:

- 1. SLEEP EATING DISORDER.
- 2. SLEEP APNEA.
- 3. RESTLESS LEG SYNDROME.
- 4. DIABETES, WITH PERIPHERAL NEUROPATHY.
- 4. DOCUMENTED CEREBROVASCULAR DISEASE,
 WITH TODAY'S EXAMINATION SHOWING
 MOTOR DEFICITS, SUBTLE ON THE LEFT
 SIDE, AS OPPOSED TO HER HISTORY OF
 SENSORY LOSS ON THE RIGHT SIDE.
 I DON'T HAVE THE RESULTS OF HER SCANS,
 BUT THAT SUGGESTS THE POSSIBILITY OF
 A BRAIN STEM FOCUS FOR THAT EVENT.

COMMENT:

I am increasing the Cymbalta to 60 mg nightly for her neuropathy.

Page 5 9-20-05

TO: CHIA CHEN, M.D. RE: DIANE BUSSAIN

For her sleep eating, I chose a simple behavioral modification, which was to literally attach a bungee cord to her bed post, wrap it around herself, and lock it up with a bicycle type combination lock, which would require, in most cases, that she wake up entirely or get close to wakefulness in order to get it undone, and hopefully in that setting be able to stop her urge to get to the kitchen.

More aggressive barrier techniques could be employed if this is not successful, and those could include putting a complex lock on her ice box.

She may need higher doses of Cymbalta for her neuropathic pain control, and if that is insufficient, we could add Lyrica, the new Pregabalin medication for symptomatic control of her neuropathy.

Sin

JOHN J. GAMBIN, M.D.

JPG/amj

REASON FOR ADMISSION: The patient is a 57-year-old female routinely cared for my Dr. Chen who presented with a neurologic complaint. She reported that last week she was having some episodes of weakness, particularly in her right hand and was intermittently dropping things. Subsequent to this she started developing a bit of numbness on the right side of her face and also in her right thumb. She noted that the next day the numbness seemed to progress until it involved the entire right side of her face, right arm, right torso, and right leg. She overall complained of complete body weakness. No headache or visual disturbances. No nausea, vomiting, chest pain, or shortness of breath. She reported that she does have severe neuropathic pain in her extremities in a glove-and-stocking distribution, but interestingly reported that as soon as she developed these symptoms of numbness that the pain on that particular side completely went away. She has had difficult to control diabetes and in fact has recently been referred to an endocrinologist. She has been on numerous oral medications and most recently insulin. She has also had somewhat labile hypertension.

PAST MEDICAL HISTORY: Significant for renal calculi, diabetes mellitus, obstructive sleep apnea, hypertension, gout, insomnia, hyperlipidemia, peripheral neuropathy thought to be secondary to diabetes mellitus, and chronic anemia secondary to thalassemia.

FAMILY HISTORY: Noncontributory.

PAST SURGICAL HISTORY: Total abdominal hysterectomy for benign reasons, a cholecystectomy, tonsillectomy, and surgical removal of renal calculi.

SOCIAL HISTORY: A remote tobacco history, no current use. No alcohol or illicit drug use.

ALLERGIES: PENICILLIN WHICH CAUSES ANAPHYLAXIS.

MEDICATIONS: Lotensin 40 mg p.o. b.i.d., Lopressor 100 mg q.l.d., Amaryl 2 mg p.o. q.d., Elavil 100 mg p.o. q.h.s., Pepcid 20 mg 1 p.o. q.d., OxyContin 60 mg t.i.d., Lantus 60 units at h.s., Humulin R sliding scale, CPAP at h.s., and Restoril 15-30 mg p.o. q.h.s. p.r.n.

REVIEW OF SYSTEMS: GENERAL: The patient has had no weight loss. No fever or chills. No night sweats. She has had diffuse weakness. HEENT: No tinnitus, vertigo, diplopia, or rhinorrhea. No headache. CARDIOVASCULAR: Positive for fatigue. No PND or orthopnea. No chest pain. She does have some chronic dyspnea on exertion. PULMONARY: Negative for a cough, hemoptysis, or pleuritic pain. Gl: No nausea, vomiting, or dlarrhea. No jaundice. GU: No hesitancy, urgency, frequency, or dysurla. PSYCHIATRIC: Some anxiety and depression. MUSCULOSKELETAL: Some arthralgias. NEUROLOGIC: Please see the HPI.

PHYSICAL EXAMINATION

VITAL SIGNS: The patient is seen the morning after the admission to the Emergency Department. Her temperature is 36.5; pulse is 70 and regular; blood pressure is 164/85, which is down from greater than 200 systolic and greater than 100 diastolic. O2 saturation is 97% on room air.

GENERAL: This is a somewhat lethargic female. Her affect is somewhat flat. She does have normal response to questions, although her responses are somewhat slow. Her speech is fluid and she is not exhibiting any dysarthria or difficulty with word finding.

St. Joseph Hospital 2700 Dolbeer Street Eureka, California 95501 707/445-8121 NAME: HUSSAIN, DIANE G

MR: SU02049887 ACCT#: SA5900002142

DATE OF ADMIT: 05/25/2005

DOB: 12/17/1947

PHYSICIAN: ALLISON BURTON, MD

HISTORY AND PHYSICAL EXAMINATION

Copy For: ALLISON BURTON, MD

Page 1

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HEENT: Her face is symmetric. Ears are clear. Pupils are reactive. Sclerae are anicteric. The oropharynx shows moist mucous membranes without any lesions.

NECK: Supple without adenopathy. Trachea is midline. The thyroid is nontender. No supraclavicular adenopathy.

HEART: Regular and distant. I do not appreciate a murmur, rub, or a gallop.

LUNGS: The lungs are generally clear but she does have a few monchi in the bases.

EXTREMITIES: Warm and well perfused, although she does have somewhat decreases pedal pulses these are palpable.

ABDOMEN: Soft, nontender, and nondistended. No abdominal bruits.

NEUROLOGIC: The patient's cranial nerves are intact. She is alert and oriented x3. She does have paresthesias noted on the right face, right arm, right leg, and right trunk. These do seem to resolve as you pass the midline. She does have paresthesias of the left hand and the left foot as well and she does have some allodynia. DTRs are somewhat depressed. On her strength exam possibly slight decreased strength in the right upper extremities but this is subtle. Overall her strength seems reasonable at flexion and extension and all of the extremities. On cerebellar function the patient has some slowing of her rapid alternating movements which is symmetric bilaterally. Her gait is not observed.

LABORATORY DATA: A CT per the radiologist is negative. A Doppler ultrasound is pending. Labs show mild anemia which is stable. EKG shows an old bundle branch block with no significant changes. CMP is within normal limits eside from elevation of glucose and some elevation of creatinine at 1.5.

IMPRESSION AND PLAN:

- 1. PARESTHESIAS, RIGHT SIDE, AT HIGH RISK FOR CEREBROVASCULAR DISEASE. Neurology consultation and consider magnetic resonance imaging. I will arrange for carolid Dopplers to evaluate for carolid stenosis. At the present time she is in her normal sinus rhythm and I have no reason to believe that this is an embolic source. It certainly could be small vessel disease. Her blood pressure will be managed, although we do not want to lower her blood pressure too quickly. We will go ahead and get a swallowing evaluation as she does say that she is having a bit of difficulty swallowing.
- 2. DIABETES MELLITUS. Continue insulin coverage.

St. Joseph Hospital 2700 Dolbeer Street Eureka, California 95501 707/445-8121 NAME: HUSSAIN, DIANE G

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PHYSICIAN: ALLISON BURTON, MD

HISTORY AND PHYSICAL EXAMINATION

Page 2

Copy For: ALLISON BURTON, MD

3. HYPERTENSION. Plan to re-astitute the patient's regular medications after this acute event. For the time being we will be using intravenous Vasotec and/or Lopressor. The patient will be monitored on Telemetry.

ALLIS	ON	BUR	TON.	MD

AB/MEDQ Job#: 440910 DD: 05/26/2005 13:07:30 DT: 05/26/2005 15:15:38

No changes in complaint or physical findings since exam was done.

Appropriate exam was repeated.

Signature

Date

St. Joseph Hospital 2700 Dolbeer Street Eureka, California 95501 707/445-8121 NAME: HUSSAIN, DIANE G MR: SU02049887 ACCT#: SA5900002142

DATE OF ADMIT: 05/25/2005

DOB: 12/17/1947

PHYSICIAN: ALLISON BURTON, MD

HISTORY AND PHYSICAL EXAMINATION

Page 3

Copy For: ALLISON BURTON, MD

DATE OF ADMISSION: 05/25/2005 DATE OF DISCHARGE: 05/27/2005

DISCHARGE DIAGNOSES:

- 1. PARESTHESIAS ON THE RIGHT SIDE, SLIGHTLY IMPROVED WITH POSSIBLE HYPERTENSIVE ENCEPHALOPATHY CAUSING THE PARESTHESIAS.
- 2. EVIDENCE OF A LACUNAR INFARCT.
- 3. HYPERTENSION.
- 4. DIABETES.
- 5. MILD DYSPHAGIA, MILD ASPIRATION RISK.
- 6. HISTORY OF CHRONIC PAIN.
- 7. HISTORY OF SLEEP APNEA.
- 8. HISTORY OF RESTLESS LEG MOVEMENT DISORDER.

ADMISSION DIAGNOSES:

- 1. PARESTHESIAS ON THE RIGHT SIDE.
- 2. HYPERTENSION.
- 3. DIABETES.

HOSPITAL COURSE: Diane was admitted because of right-sided paresthesias and significant hypertension. Her initial admit blood pressure was 233/115. Here, with her medications, she has a systolic blood pressure in the 160s; without her medications in the 190s. She had not lost any strength and regained some of the sensation on the right side, but still was deficient. Her blood sugar has been doing good while she is in the hospital. They are running at about 100s to mid-200s. 98% on room air her pulse ox. Heart rate remains in the 60s. She did not have any acute chest pains or shortness of breath. Her discharge ECM showed that she has full range of motion and strength on both sides. Her speech is clear, normal. Mental status clear normal. She is able to ambulate without assistance. Her heart is regular rate and rhythm. Lungs were clear. Abdomen soft, nontender, nondistended. Lower extremity, no edema. She is able to tolerate p.o. without problems. She had normal urination, bowel movements.

Consultation during this admission include Dr. Gambin.

PROCEDURES: Bilateral carotid ultrasound, which were normal. A head CT, which was read as negative, but Dr. Gamble had seen internal capsule lacunar infarct on the left. She had a swallow evaluation, which showed that she has mild dysphagia and was put on a dysphagia 2 gram diet.

LABORATORY STUDIES: Normal CBC on the 24th, a normal CMP except for elevated blood sugar and mildly elevated BUN/creatinine on the 24th. On the 26th, BMP, which has not significantly changed except for the glucose is 128, which is down from the initial. She also had an EKG, which showed she had sinus rhythm and some marked left axis deviation, right bundle branch block and ventricular hypertrophy.

DISCHARGE PLAN: She will go home to her self and her granddaughter is coming today, grandson is coming tomorrow, who will be staying with her for a few days, so she will have some care taking available. She can manage her own medications.

DISCHARGE MEDICATIONS:

Same medications that she was taking prior to coming in, with the exception of 3 new medications, plus some changes in her blood pressure medications. The 3 new medications will include Playlx 75 mg one p.g. daily, Mirapex

St. Joseph Hospital 2700 Dolbeer Street Eureka, California 95501 707/445-8121

NAME: HUSSAIN, DIANE G

MR: SU02049887 ACCT#: SA5900002142

DATE OF ADMIT: 05/25/2005

DOB: 12/17/1947

PHYSICIAN: CHIA CHEN, MD

DISCHARGE SUMMARY

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0.5 mg p.o. q.h.s., and Cymbalta 30 mg p.o. daily and this will be increased after 2 weeks to 60 mg p.o. daily. This is for the purpose of chronic pain control. Her blood pressure medication will be Lotensin 40 mg twice a day, Lopressor 100 mg four times a day, and she will continue on her Lantus and her Humulin R, as she was taking before and continue her Elavil, Pepcid, Amaryl, OxyContin as before. The OxyContin she has been taking at 60 three to four times a day for additional pain control. She will followup with myself next week in the clinic and I will go ahead and submit a triplicate prescription for her OxyContin as well. Patient understands and is in agreement of the above changes and plan.

CHIA CHEN, MD

CC/MEDQ Job#: 890967 DD: 05/27/2005 12:51:25 DT: 05/28/2005 19:19:57

St. Joseph Hospital 2700 Dolbeer Street Eureka, California 95501 707/445-8121 NAME: HUSSAIN, DIANE G MR: 8U02049887 ACCT#: SA5900002142

DATE OF ADMIT: 05/25/2005

DOB: 12/17/1947

PHYSICIAN: CHIA CHEN, MD

DISCHARGE SUMMARY

Page 2

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1 2007 00:42 HOSPITAL (999) 999-9999. Thus, to contained in this fax is privileged and confide - 1 and for the sole use of the intended recipient.

RUN DATE:02/13/07 RUN TIME:0006

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY

PAGE 77

PATHOLOGISTS:

Outpatient Laboratory Services 2200 Harrison Eureke CA

Megan J. Smith-Zagone, MD

Stanley T. Hino, MD Lic. No. G46039

Erik J. Burman, MD Lic. No. G64607

Lic. No A89551

LOCATION
ZREDFP.S
ZZ REDWOOD FAMILY PRACTICE

Acct #SA0001278464 Log: EREDFD S U#SU02049887
Age/Sec: S9/F Room: Reg: 02/12/2007
Status: REG CLI Bed: Dis:

Specimen: 0212:C00197R

Reg Dr: CHEN, CHIA MD

D.O.B.: 12/17/1947

Patient: HUSSAIN, DIANE G

Collected: 02/12/07-1450 Status: COMP Req#: 01593998

Received: 02/12/07-1450 Subm Dr: CHEN, CHIA MD

Ordered: RENP, ALT/SGPT

Test	Result	Flag	Reference	Site
> SODIUM > POTASSIUM > CHLORIDE > CARBON DIOXIDE > ANION GAP > BLOOD UREA NITROGEN > CREATININE > EST GLOMERULAR FILTRAT	131 4.2 99 22 10.0 28 1.9	L L H H H	136-144 MEQ/L 3.6-5.1 MEQ/L 101-111 MMOL/L 22-32 NMOL/L 3-9 8-26 MG/DL 0.6-1.1 MG/DL	57 57 58 58 58 58 58 58 58 58 58 58 58 58 58
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Specimen: 0212:SC00061R Ordered: HBA1C	Collected: 02/12/0 Received: 02/12/0	7-1450 Status: 7-1450 Subm Dr:	COMP Req#: 01593998 CHEN, CHIA MD	
Test	Result	Flag	Referençe	Site
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	< 7	< 135	Non-Diabetic range	-
	7	270	ADA Target	

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ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY Outpatient Laboratory Services 2200 Harrison Eureka CA

PAGE 78

PATHOLOGISTS:

Megan J. Smith-Zagone, MD

Stanley T. Hino, MD

Erik J. Burman, MD

Lic. No A89551

Lic. No. G46039

Lic. No. G64607

LOCATION ZREDFP.S LZ REDWOOD FAMILY PRACTICE

Test	Result	Flag	Reference Sir
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RUN DATE:11/03/06

ST. JOSEPH HEALTH BYSTEM-HUMBOLDT COUNTY

PAGE 55

RUN TIME:0006

Outpatient Laboratory Services 2200 Harrison Eureka CA

PATHOLOGISTS:

Megan J. Smith-Zagone, MD Lic. No A89551 Stanley T. Hino, MD Lic. No. G46039 Erik J. Burman, MD Lic. No. G6460?

LOCATION ZREDEP.S ZZ REDMOOD FAMILY PRACTICE

 Patient: HUSSAIN, DIANE G
 Acct #SA0001233597
 Loc: ZREDFP.S
 U#SU02049887

 D.O.B.: 12/17/1947
 Age/Sex: 58/F
 Rocm: Reg: 11/02/2006

 Reg Dr: CHEN, CHIA MD
 Status: REG CLI
 Bed: Dis:

Specimen: 1102:C00096R

Collected: 11/02/06-0813 Status: COMP Req#: 015332C1

Received: 11/02/06-0813 Subm Dr: CHEN, CHIA MD

Ordered: ALT, SGPT, LPP

Test	Regult	Flag	Reference	Site
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•	or = 240 mg/dl High Risk			
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	Porderline High 150 - 199			
	High 200 - 500			
	Very High > 500			_
HDL CHOLESTEROL	43		MG/DL	5
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	High Risk < 40 mg/d			
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147. CROMESTEROE DIRE	141		0-160** MG/EC	3
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	<160 if no CHD		<5	3
RISE RATIO	4.3		ζο	3
PATIENT FASTING?	YE 3			

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RUN DATE: 11/03/06

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY

PAGE 56

RUN TIME:0006

Outpatient Laboratory Services 2200 Harrison Eureka Ck

PATHOLOGISTS:

Megan J. Smith-Zagone,MD Lic. No A89551 Stanley T. Hino, MD Lic. No. G46039 Erik J. Burman,MD Lic. No. G64607

LOCATION ZREDFP.8
ZZ REDWOOD FAMILY PRACTICE

Patient: HUSSAIN, DIANE G		Acct	#SA000123	3597	(Contin	ued.)	
Specimen: 1102:SC00022R	Collected: Received:	11/02/06- 11/02/06-	0813 Star 0813 Sub	tus: n Dri	COMP Req#: CHEN, CHIA MD	01533261	
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	8 '		205				
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it Joseph Hospital

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SY. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY

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RUN TIME: 0006

Outpatient Laboratory Services 2200 Harrison Eureka CA

PATHOLOGISTS:

Megan J. Smith-Zagone, MD

Stanley T. Hino, MD

Erik J. Burman, MD

Lic. No A89551

Lic. No. G46039

Lic. No. G64637

LOCATION ZREDFP.8

ZZ REDWOOD FAMILY PRACTICE

Patient: HUSSAIN, DEANE G D.O.B.: 12/17/1947 Reg Dr: CHEN; CHEA MD	Acet #9800012 Aga/fisc: 58/F Status: R&G	14264 Looi Little Reg: 09;	049887 /18/2006
Specimen: 0918:H00151R	Collected: 09/18/06-1449 Received: 09/18/06-1449	Statum: COMP Req#: 0150606 Subm Dr: CHEN, CHIA MD	5
Ordered: ESR		Dafaverce	Site
Teet > ERYTHROCYTE SED RATE	Result	0-20 MM/HR	S

Specimen: 0918:K00055R	Collected: 09/18/06-1449 Received: 09/18/06-1449		COMP Rog#: 01506065 - CHEN, CHIA MD	
Ordered: FIB, DDQNT		Flag	Reference	Site
Test	Result	8.449	THE STREET CO.	s
> FIBRINOGEN > D-DIMER QUANTITATIVE	522 257	н	219-475 MG/DL <500 NG/ML	s
> D-DIMER AMELITY	(F5) Note: Concentration express Equivalent Units).	sed in ng/m	l FEU (Fibrinogen	

S - St. Joseph Hospital 2700 Dolbeer St., Eureka, CA

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RUN DATE: 06/30/06

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY

RUN TIME: 0132 PATHOLOGISTS:

Outpatient Laboratory Services 2200 Harrison Eureka CA

PAGE 1

Robert V. Zedelis,MD Lic. No G17001

Stanley T. Hino, MD Lic. No. G46039

Erik J. Burman, MD Lic. No. G64607

Margaret A. Gordon, MD Lic No. G21047

PHYSICIAN

		CHE	CHIA KD	•	
PATIENT: HUSSAIN, DIANE G		ACCT #: AGE/SX:	8A0001180438		₩: SU0204988
REG DR: CHEN, CHIA MD	~	DOB: STATUS:	12/17/1947	ROOM: BED: TLOC:	REG: 06/29/06 DIS:
Specimen: 0629:C00155R	Collected: 0 Received: 0	6/29/06 - 6/29/06 -	1202 Status:	COMP Req#:	01459357
Ordered: RENP, ALT/SGPT,	LPP			onan, chira hip	
Test					_
	Resi	ılt	Fla	g Reference	Site
> SODIUM	137			176 444 455	
> POTASSIUM > CHLORIDE	4.5			136-144 MEQ/I	L g
> CARBON DIOXIDE	1.05			3.6-5.1 MBO/I	L s
> ANION GAP	25			101-111 MMOL/ 22-32 MMOL/L	_
> BLOOD UREA NITROGEN	7.0			7-16	S
> CREATININE	29		Н	8-26 MG/DL	S
> EST GLOMERULAR FILTRATIO	1.8		Ħ	0.6-1.1 MG/DI	.
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•	Estimated Glome:	rular Fi	ltration Rate	- GFR	a
> GLUCOSE	(ml/min/1.73 aq 91	uare met	ers)		
CALCIUM	9.0			74-118 MG/DL	s
PHOSPHOROUS	4.5			8.5-10.1 MG/D	L g
ALT/SGPT	58			2.5-4.6 MG/DL	s
ALBUMIN	3.8		H	4-32 IU/L	8
LIPID PANEL				3.5-4.8 G/DL	S
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TO FOR WARR	> or = 240 mg/d		Risk		
TRIGLYCERIDE	243	•	Н	<150 MG/DL	
	Normal	<	150	CIBO MG/DL	8
Be	orderline High	150 ~	199		
•	High	200 -	500		
HDL CHOLESTEROL	Very High	> :	500		
TON CHORESTEROL	51			MG/DL	
	Low Risk	e or > 60	omg/dl		S
LDL CHOLESTEROL DIRECT	High Risk	< 40	mg/dl		
	176		Н	0-160** MG/DL	
	**LDL Choleste	rol Thei	aneutic doel	a . roa MG\DT	s
	100 or	less if	CHD present	=	

⁻S - St. Joseph Hospital 2700 Dolbeer St., Eureka, CA

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RUN DATE: 06/30/06 RUN TIME: 0132

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY Outpatient Laboratory Services 2200 Harrison Eureka CA

PAGE 2

PATHOLOGISTS: Robert V. Zedelis,MD Lic. No G17001

Stanley T. Hino, MD Lic. No. G46039

Erik J. Burman, MD Lic. No. G64607

Margaret A. Gordon, MD Lic No. G21047

PRYSICIAN CHEN, CHIA MD

Patient: HUSSAIN, DIANE G	CHEN, CHIA KD		
MODATA, DIANE G	#SA0001180438	(Continued)	
Test	Result Flag	Pof	
> RISK RATIO > PATIENT FASTING?	<130 if no CHD but 2 or mor <160 if no CHD 5.2		Site
pedimen: 0629:8C00044B	YES	<5	8 S

Specimen: 0629:SC00044R

Collected: 06/29/06-1202 Received: 06/29/06-1202 Subm Dr: CHEN, CHIA MD Status: Req#: 01459357

Ordered: HBA1C

Test	Result			
· HEMOGLOBIN A1C		Flag	Reference	Site
Atc	11.9	Н	4.2-5.8 *	G
7 04 Tananh 1111-1				

3 - St. Joseph Hospital

2700 Dolbeer St., Eureka, CA

1 - St. Joseph Hospital - General Hospital Campus

2200 Harrison Ave, Eureka, CA

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RUN DATE: 02/25/06 RUN TIME: 0131

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY Outpatient Laboratory Services 2200 Harrison Eureka CA

PAGE 1

PATROLOGISTS:
Robert V. Zedelis,MD
Lic. No G17001

Stanley T. Hino, MD Lic. No. G46039

Erik J. Burman, MD Lic. No. G64607

Margaret A. Gordon, MD Lic No. G21047

PHYSICIAN CHEN, CHIA ND

PATIENT: HUSSAIN, DIANE G

ACCT #: SA0001123763 LOC: ZREDFP.S U #: SU02049887

AGE/SX: 58/F ROOM: REG: 02/24/06

DOB: 12/17/1947 BED: DIS:

STATUS: REG CLI TLOC:

Specimen: 0224:C00249R

Collected: 02/24/06-1259 Status: COMP Req#: 01382945

Received: 02/24/06-1259 Subm Dr: CHEN, CHIA MD

Ordered: RENP, ALT/SGPT, LPP, MG

Comments: GLUCOSE CALLED TO DR CHEN AT 1820/CO

READBACK OBTAINED

Test	Result	Flag	Reference	si
> SODIUM	125	L	136-144 MEQ/L	
> POTASSIUM	4.6		3.6-5.1 MEQ/L	G
- CHLORIDE	97	L	101-111 MMOL/L	G
CARBON DIOXIDE	24	_	22-32 MMOL/L	G
ANION GAP	4.0	L	7-16	G
BLOOD UREA NITROGEN	27	H	8-26 MG/DL	G
CREATININE	1.9	H	0.6-1.1 MG/DL	G
EST GLOMERULAR FILTRA	TION RATE		0.6-1.1 MG/DE	G
	34.9	L	>60	G
	Estimated Glomerular Filtration	Rate -	GFR	نا
	(ml/min/1.73 square meters)	••••	31 K	
GLUCOSE	619	*H	74-118 MG/DL	~
	GLUCOSE REPEATED	••	74 IIO MG/DL	G
CALCIUM	9.2		8.5-10.1 MG/DL	_
PHOSPHOROUS	3.7			G
ALT/SGPT	24		2.5-4.6 MG/DL	G
ALBUMIN	3.9		4-32 IU/L	G
LIPID PANEL			3.5-4.8 G/DL	Ġ
CHOLESTEROL	195			
•	Cholesterol (NCEP Guidelines)		<200 MG/DL	G
	< 200 mg/dl Low Risk		•	
	201 - 239 mg/dl Borderline R			
•		ISK		
TRIGLYCERIDE	> or = 240 mg/dl High Risk 358			
		H	<150 MG/DL	G
	(150			
	High 200 - 500			
HDL CHOLESTEROL	Very High > 500			
CHOBBIBROU	36		MG/DL	G
	Low Risk = or > 60 mg/dl			. 4
•	High Risk < 40 mg/dl			

G - St. Joseph Hospital - General Hospital Campus 2200 Harrison Ave, Eureka, CA

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RUN DATE: 02/25/06 RUN TIME:0131

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY Outpatient Laboratory Services 2200 Harrison Eureka CA PAGE 2

PATHOLOGISTS:

Stanley T. Hino, MD

Brik J. Burman, MD

Margaret A. Gordon, MD Lic No. G21047

Robert V. Zedelis,MD Lic. No G17001

Lic. No. G46039

Lic. No. G64607

PHYSICIAN CHEN, CHIA MD

Patient: HUSSAIN, DIANE G	#SA0001123763	(Co	ntinued)	
Test	Result	Plag	Reference	Site
> LDL CHOLESTEROL DIRECT	100 **LDL Cholesterol Therapeut	ic Goals	0-160** MG/DL	G .
	100 or less if CHD pr	resent	risk factors present	•
> RISK RATIO	5.4		<5	G
> MAGNESIUM	1.9		1.7-2.2 MG/DL	G
> PATIENT FASTING?	YES			G

Specimen: 0224:H00216R

Reg#: 01382945 Status: COMP Collected: 02/24/06-1259

Received: 02/24/06-1259 Subm Dr: CHEN, CHIA MD

Te	est	Result	Flag	Reference	Site
CC	OMPLETE BLOOD COUNT				
	HEMOGRAM			3.5-10.0 K/CMM	G
>	WHITE BLOOD COUNT	6.6		3.80-5.20 M/CMM	Ğ
>	RED BLOOD COUNT	4.73	•	12.0-15.5 G/DL	G
>	HEMOGLOBIN	10.2	L	35.0-46.0 %	G
>	HEMATOCRIT	32.0	L		G
>	MCV	67.7	L	82-99 FL	G
>	MCH	21.6	L	27.0-33.0 PG/CELL	G
>	MCHC	31.9	Ĺ	32-35 %	_
>	RBC DISTRIB WIDTH	17.8	H	11.0-15.0 %	G.
>	PLATELET COUNT	475	н	140-340 K/CMM	G
>	NEUT*	68.6		42.0-74.0 %	G
>	LYMPH*	21.5		16.0-45.0 %	G G
>	MONO*	5.4		4.0-12.0 %	
>	EOS¥	3.8		0.0-7.0 %	G -
	BASO	0.7		0.0-2.0 %	G
>	NEUT#	4.5		1.5-7.0 K/CMM	G
>	**	1.4		1.2-3.4 K/CMM	G
>	LYMPH#	0.4		0.1-0.7 K/CMM	a
>	момо#			0.0-1.0 K/CMM	G
>	EOS#	0.3	•		G
>	Baso#	0		0-0.2 K/CMM	<u>.</u>

G - St. Joseph Hospital - General Hospital Campus 2200 Harrison Ave. Eureka, CA

RUN DATE: 02/25/06

> HEMOGLOBIN A1C

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY Outpatient Laboratory Services 2200 Harrison Eureka CA

RUN TIME: 0131

PATHOLOGISTS: Robert V. Zedelis,MD

Lic. No G17001

Stanley T. Hino, MD

Lic. No. G46039

Erik J. Burman, MD Lic. No. G64607

Margaret A. Gordon, MD

PAGE 3

Lic No. G21047

PHYSICIAN CHEN, CHIA MD

(Continued) #SA0001123763 Patient: HUSSAIN, DIANE G Req#: 01382945 Collected: 02/24/06-1259 Status: COMP Specimen: 0224:SC00104R Received: 02/24/06-1259 Subm Dr: CHEN, CHIA MD Ordered: HBA1C Site Plag Reference Result Test G 4.2-5.8 Н 15.5

G - St. Joseph Hospital - General Hospital Campus 2200 Harrison Ave, Eureka, CA

RUN DATE: 01/25/06

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY St. Joseph Hospital 2700 Dolbeer St., Eureka, Ca 95501 PAGE 1

RUN TIME:0301 PATHOLOGISTS:

Robert V. Zedelis,MD Lic. No G17001

Stanley T. Hino, MD Lic. No. G46039

Erik J. Burman,MD Lic. No. G64607

Margaret A. Gordon, MD Lic No. G21047

PHYSICIAN CHEN, CHIA ND

PATIENT: HUSSAIN, DIANE G

ACCT #: SA0001109516 LOC: ED.S

ROOM:

TLOC:

U #: SU02049887

REG DR: GERDES, ERIC DO

AGE/SX: 58/F DOB: 12/17/1947 REG: 01/24/06

STATUS: DEP ER

BED:

DIS:

Specimen: 0124:C00249S

Collected: 01/24/06-1835 Status: COMP

Req#: 01363118

Received: 01/24/06-1848 Subm Dr: GERDES, ERIC DO

Ordered: BMP

Comments: Comments? ER BED TR2

Test	Result	Flag	Reference	Site
> SODIUM	130	L	136-144 MBQ/L	8
> POTASSIUM	4.6 _		3.6-5.1 MEQ/L	S
> CHLORIDE	96	L	101-111 MMOL/L	S
> CARBON DIOXIDE	23		22-32 MMOL/L	' s
> ANION GAP	9.0		7-16	s
.> BLOOD UREA NITROGEN	34	Н	8-26 MG/DL	s
> CREATININE	1.9	Н	0.6-1.1 MG/DL	s
> EST GLOMERULAR FILTRA	TTON RATE			
> 531 GHOURICOTHIC 134114	34.9	L	>60	s
	Estimated Glomerular Filtra	tion Rate -	GFR	
	(ml/min/1.73 square meters)			
> GLUCOSE	574	*H	74-118 MG/DL	S
- 020000	CALLED RESULTS TO JOHN BUST	AMONTE AT	.859	
> CALCIUM	8.8		8.5-10.1 MG/DL	S

Req#: 01363118 Collected: 01/24/06-1835 Status: COMP Specimen: 0124:H00214S

Received: 01/24/06-1848 Subm Dr: GERDES, ERIC DO

Ordered: CBC

ments: Comments? ER BED TR2

Те	st	Result	Flag	Reference	Site
	MPLETE BLOOD COUNT				
	HEMOGRAM WHITE BLOOD COUNT	8.2		3.5-10.0 K/CMM	s
> >	RED BLOOD COUNT	4.46		3.80-5.20 M/CMM	s
>	HEMOGLOBIN	10.0	L	12.0-15.5 G/DL	S
>	HEMATOCRIT	29.9	L	35.0-46.0 %	S
>	MCV	67.0	L	82-99 FL	S
>	MCH	22.4	$oldsymbol{L}$	27.0-33.0 PG/CELL	S
>	MCHC	33.4		32-35 %	S

S - St. Joseph Hospital 2700 Dolbeer St., Eureka, CA

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RUN DATE: 01/25/06 RUN TIME:0301

Lic. No G17001

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY St. Joseph Hospital 2700 Dolbeer St., Eureka, Ca 95501 PAGE 2

PATHOLOGISTS:

Robert V. Zedelis,MD

Stanley T. Hino, MD Lic. No. G46039

Erik J. Burman,MD Lic. No. G64607

Margaret A. Gordon, MD Lic No. G21047

PHYSICIAN CHEN, CHIA MD

Patient: HUSSAIN, DIANE G	#SA0001109516	(Continued)	
.~.			
Test	Result	Flag Reference	Site
> RBC DISTRIB WIDTH > PLATELET COUNT	17.6 429	H 11.0-15.0 * H 140-340 K/CMM	s s

Specimen: 0124:U00047S

Req#: 01363104 Collected: 01/24/06-1815 COMP Status:

Received: 01/24/06-1823 Subm Dr: GERDES, ERIC DO

Ordered:

UA, UMIC

Comments: Comments? ER BED TR2

Urine source: VOID

Test	est Result Flag Reference		Site	
URINALYSIS				
> COLOR	· YELLOW			g
> APPEARANCE	CLQUDY			ន
> SPECIFIC GRAVITY	1.015		1.003-1.035	g
	5.5	•	4.5-8.5	S
	>=1000	\cdot H	NEGATIVE MG/DL	s
	NEGATIVE		NEGATIVE	S
> BILIRUBIN	NEGATIVE		NEGATIVE MG/DL	S
> KETONES		н	NEGATIVE	S
> BLOOD	LARGE	H H	NEGATIVE MG/DL	s
> PROTEIN	100	n		s
> UROBILINOGEN	0.2		0.2-1.0 MG/DL	s
> NITRITE	NEGATIVE		NEGATIVE	
> LEUKOCYTE ESTERASE	MODERATE	H	NEGATIVE	s
URINE MICROSCOPIC				
> WBC	30-50	H	<5 /HPF	8
> RBC	NONE		<3 /HPF	s .
Accessions and Tall Chil	NONE		/LPF	s
	OCCASIONAL		NEGATIVE /HPF	S
	C/S NOT REQUESTED		•	S
> ORDER CULTURE?	C/2 NOI KEQUESTED			

S - St. Joseph Hospital

2700 Dolbeer St., Eureka, CA

RUN DATE: 01/26/06 RUN TIME: 1202

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY

PAGE 1

PATHOLOGISTS:

Procedure

St. Joseph Hospital 2700 Dolbeer St., Eureka, Ca 95501

Robert V. Zedelis,MD Lic. No G17001

Stanley T. Hino, MD Lic. No. G46039

Erik J. Burman, MD Lic. No. G64607

Margaret A. Gordon, MD Lic No. G21047

PHYSICIAN CHEN, CHIA MD

PATIENT: HUSSAIN, DIANE G ACCT #: SA0001109516 LOC: ED.S REG DR: GERDES, ERIC DO U #: SU02049887 AGE/8X: 58/F ROOM: DOB: 12/17/1947

BED: STATUS: DEP ER TLOC: REG: 01/24/06

DISI

Specimen: 06:M0001222S COMP

Collected: 01/24/06-1815 Received: 01/24/06-2216

Source: URINE Sp Desc: VOID

Comments: Comments: URINE IN LAB

Is patient on antibiotics? N

Result > CULTURE, URINE 8ite

Final LESS THAN 10,000 CFU/ML MIXED FLORA

H

H - Humboldt Central Laboratory 2425 Harrison Ave., Eureka CA

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RUN DATE: 10/26/05 RUN TIME: 0132 ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY Outpatient Laboratory Services 2200 Harrison Eureka CA

PAGE 1

PATHOLOGISTS:

Robert V. Zedelis,MD Lic. No G17001

Stanley T. Hino, MD Lic. No. G46039

Erik J. Burman, MD Lic. No. G64607

Margaret A. Gordon, MD Lic No. G21047

PHYSICIAN CHEN, CHEA MD

23.03.00		•
PATIENT: HUSSAIN, DIANE G	ACCT #: SA0001072556 LOC: ZREDFP.S U #: SU	02049887
RHG DR: CHEN, CHIA MD	AGE/SX: 57/F ROOM: REG: 10 DOB: 12/17/1947 BED: DIS: STATUS: REG CLI TLOC:	
Specimen: 1025:C00128R	Collected: 10/25/05-1108 Status: COMP Req#: 01309487 Received: 10/25/05-1108 Subm Dr: CHEN, CHIA MD	
Ordered: ALT/SGPT: LPP	-	
Test	Result Flag Reference	Site
> ALT/SGPT LIPID PANEL	23 4-32 IU/L	G
> CHOLESTEROL	- 000	
•	- 236 H <200 MG/DL Cholesterol (NCEP Guidelines)	G
> TRIGLYCERIDE	<pre> < 200 mg/dl Low Risk 201 - 239 mg/dl Borderline Risk > or = 240 mg/dl High Risk</pre>	G .
> HDL CHOLESTEROL > LDL CHOLESTEROL DIRECT	Very High > 500 47 MG/DL Low Risk = or > 60 mg/dl High Risk < 40 mg/dl	G
	124 0-160** MG/DL **LDL Cholesterol Therapeutic Goals 100 or less if CHD present	G
	<130 if no CHD but 2 or more risk factors present	
> RISK RATIO	5.0 <5	- I
> PATIENT FASTING?	YES	G G

G - St. Joseph Hospital - General Hospital Campus 2200 Harrison Ave, Eureka, CA

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RUN DATE:10/26/05

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY

PAGE 2

RUN TIME: 0132

Outpatient Laboratory Services 2200 Harrison Eureka CA

PATHOLOGISTS:

Robert V. Zedelis,MD Lic. No G17001 Stanley T. Hino, MD Lic. No. G46039 Erik J. Burman, MD Lic. No. G64607 Margaret A. Gordon, MD

Lic No. G21047

PHYSICIAN CHEN, CHIA MD

Patient: HUSS	AIN, DIANE G		#SA0001072	556 (Continued)		
					· · · · · · · · · · · · · · · · · · ·		
Specimen: 102	5:SC00041R		10/25/05-1108 10/25/05-1108			: 01309487	
Ordered: HBA	11C						
Test		R	eault	Flag	Reference		Site
> HEMOGLOBIN	AlC	1	11.4	H	4.2-5.8 %		G

G - St. Joseph Hospital - General Hospital Campus 2200 Harrison Ave, Eureka, CA

RUN DATE: 09/04/05

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY

PAGE 1

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RUN TIME: 0132

Outpatient Laboratory Services 2200 Harrison Eureka CA

PATHOLOGISTS:

Robert V. Zedelis,MD Lic. No G17001

Stanley T. Hino, MD Lic. No. G46039 Erik J. Burman, MD Lic. No. G64607

Margaret A. Gordon, MD

Lic No. G21047

PHYSICIAN CHRN, CHIA MD

PATIENT: HUSSAIN, DIANE G ACCT #: SA0001050847 LOC: ZREDFP.S U #: SU02049887 AGE/8X: 57/F ROOM:~ REG: 09/03/05 REG DR: CHEN, CHIA MD DOB: 12/17/1947 BED: DIS: STATUS: REG CLI TLOC: Specimen: 0903:SC00036R Collected: 09/03/05-1240 Status: COMP Req#: 01278037 Received: 09/03/05-1240 Subm Dr: CHEN, CHIA MD Ordered TSH, FT4 Test Result Flag Reference Site

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RUN DATE: 07/01/05 RUN TIME: 0132

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY Outpatient Laboratory Services 2200 Harrison Eureka CA

PAGE 1

PATHOLOGISTS:

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Stanley T. Hino, MD Lic. No. G46039

Erik J. Burman,MD Lic. No. G64607

Margaret A. Gordon, MD Lic No. G21047

PHYSICIAN CHEN MD, CHIA

REG DR: CHEN MD, CHIA	#: SA0001024103 LOC: ZREDFP.8 U #: SU02049887 8X: 57/F ROOM: REG: 06/30/05 12/17/1947 BED: DIS: US: REG CLI TLOC:
-----------------------	--

Received: 06/30/05-1145 Subm Dr: CHEN MD, CHIA

Ordered: CMP, LPP

Result	Flag	Reference	Site
135	I.	136-144 MRO/I.	G
3.7	_		~G
104			G
24			G
7.0			G
21		· ·	G
1.5	H		Ģ
. 317			G
9.2	••	· ·	-
0.6		 	G G
192	Ħ		G G
17	**.		-
16			G G
6.7			
4.1			G
		3.9-#.0 G\NN	G
259	tr	<200 Mg/br	•
	, ra }	CZUU PIG/DL	G
	'		
9 , , , , , , , , , , , , , , , , , ,	o i a k		
	LIBK		
, , , , , , , , , , , , , , , , , , , ,	tr	150 Mains	_
771	п	<too dd<="" mg="" td=""><td>G</td></too>	G
120		·	
		•	
-			
- 		MG/DL	G
LOW RISK = or > 60 mg/dl			
		0-160** MG/DL	G.
when the triglyceride is greater	than :	250 mg/dl, the LDL is	
progressively underestimated and the calculation			
when the triglyceride is greater	than 4	00 mg/dl.	
	135 3.7 104 24 7.0 21 1.5 317 9.2 0.6 192 17 16 6.7 4.1 259 Cholesterol (NCEP Guidelines, < 200 mg/dl Low Risk 201 - 239 mg/dl Borderline II > or = 240 mg/dl High Risk 379 Normal < 150 Borderline High 150 - 199 High 200 - 500 Very High > 500 48 Low Risk = or > 60 mg/dl High Risk < 40 mg/dl 135 When the triglyceride is greater progressively underestimated and when the triglyceride is greater	135 L 3.7 104 24 7.0 21 1.5 H 317 H 9.2 0.6 192 H 17 16 6.7 4.1 259 H Cholesterol (NCEP Guidelines) < 200 mg/dl Low Risk 201 - 239 mg/dl Borderline Risk > or = 240 mg/dl High Risk 379 H Normal < 150 Borderline High 150 - 199 High 200 - 500 Very High > 500 48 Low Risk = or > 60 mg/dl High Risk < 40 mg/dl 135 When the triglyceride is greater than approgressively underestimated and the car	135

G - St. Joseph Hospital - General Hospital Campus 2200 Harrison Ave, Eureka, CA

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RUN DATE: 07/01/05 RUN TIME: 0132 ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY Outpatient Laboratory Services 2200 Harrison Eureka CA PAGE 2

PATHOLOGISTS:

Robert V. Zedelis,MD Lic. No G17001 Stanley T. Hino, MD Lic. No. G46039 Erik J. Burman,MD Lic. No. G64607 Margaret A. Gordon, MD Lic No. G21047

PHYSICIAN CHEN MD, CHIA

Patient: HUSSAIN, DIANE G	#SA000102410	3 (Continued)	
		-	
Test	Result	Flag Reference	Site
	100 or less if CHD	present 2 or more risk factors present	
> RISK RATIO > PATIENT FASTING?	5.4 YES	<5 ⁻	G G

Collected: 06/30/05-1145 Status: COMP Req#: 01242280 Specimen: 0630:H00125R Received: 06/30/05-1145 Subm Dr: CHEN MD, CHIA Ordered: CBC Site Reference Result Flag Test COMPLETE BLOOD COUNT **HEMOGRAM** 3.5-10.0 K/CMM G 7.2 WHITE BLOOD COUNT G 3.80-5.20 M/CMM RED BLOOD COUNT 5.12 G 12.0-15.5 G/DL L HEMOGLOBIN 11.0 G 35.0-46.0 \$ L 33.7 HEMATOCRIT 65.7 G L 82-99 FL MCV G 27.0-33.0 PG/CELL 21.5 > MCH G 32-35 * 32.7 MCHC G H 11.0-15.0 \$ 17.1 RBC DISTRIB WIDTH 140-340 K/CMM G H 498 PLATELET COUNT G 42.0-74.0 % 65.3 NEUT? 16.0-45.0 % \mathbf{G} 25.7 LYMPH* G 6.7 4.0-12.0 % MONO* > Œ 0.0-7.0 * 2.1 BOS* G 0.0-2.0 % 0.2 BASO G 1.5-7.0 K/CMM 4.6 NEUT# G 1.9 1.2-3.4 K/CMM LYMPH# G 0.1-0.7 K/CMM 0.5 MONO# G 0.0-1.0 K/CMM EOS# 0.2 \boldsymbol{G} 0-0.2 K/CMM 0 BASO#

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RUN DATE: 07/01/05

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY

PAGE 3

RUN TIME:0132 PATHOLOGISTS: Outpatient Laboratory Services 2200 Harrison Eureka CA

Robert V. Zedelis, MD

Stanley T. Hino, MD Lic. No G17001 Lic. No. G46039

Erik J. Burman, MD Lic. No. G64607

Margaret A. Gordon, MD Lic No. G21047

PHYSICIAN CHEN MD, CHIA

Patient: HUSSAIN, DIANE G	#SA00010	24103	(Continued)	
•				
Specimen: 0630:SC00038R	Collected: 06/30/05-114 Received: 06/30/05-114	5 Status: 5 Subm Dr:	COMP Req#: 01242280 CHEN MD, CHIA	,
Ordered: HBA1C, TSH				
Test	Result	Flag	Reference	Site
> HEMOGLOBIN A1C > TSH	9.4 0.87	Н	4.2-5.8 % 0.34-5.60 MCIU/ML	G G

G - St. Joseph Hospital - General Hospital Campus 2200 Harrison Ave, Rureka, CA

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MAY 1 5 2007

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RUN DATE: 05/25/05 RUN TIME: 0302

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY St. Joseph Hospital 2700 Dolbaer St., Bureka, Ca 95501

PAGE 1

PATHOLOGISTS:

Robert V. Zedelis, MD Lic. No G17001

Stanley T. Hino, MD Lic. No. G46039

Erik J. Burman, MD Lic. No. G64607

Margaret A. Gordon, MD Lic No. G21047

PHYSICIAN CHEN MD, CHIA

PATIENT: HUSSAIN, DIANE G ACCT #: SA5900002142 LOC: TEL.S U #: SU02049887 AGE/8X: 57/F ROOM: 1228.S REG DR: BURTON MD, ALLISON REG: 05/25/05 DOB: 12/17/1947 BED: 1 DIS: STATUS: ADM INO TLOC:

Specimen: 0524:C00261S

Collected: 05/24/05-2225 Status: COMP Received: 05/24/05-2230 Subm Dr: NATTKEMPER, CRAIG A D.O. Req#: 01225034

Ordered: CMP

Comments: Comments? ER BED 3

Test	Result	Flag	Reference	Site
> SODIUM > POTASSIUM > CHLORIDE > CARBON DIOXIDE > ANION GAP > BLOOD UREA NITROGEN > CREATININE > GLUCOSE > CALCIUM > BILIRUBIN, TOTAL > ALK. PHOSPHATASE > AST/SGOT > ALT/SGPT > TOTAL PROTEIN > ALBUMIN	139 4.1 104 26 9.0 23 1.5 348 9.1 0.7 207 22 10 7.7 4.1	н н н	135-145 MEQ/L 3.6-5.0 MEQ/L 98-107 MMOL/L 22-32 MMOL/L 7-16 5-20 MG/DL 0.7-1.2 MG/DL 75-110 MG/DL 8.4-10.2 MG/DL 0.0-1.3 MG/DL 38-126 U/L 14-36 U/L 9-52 U/L 6.3-8.2 G/DL 3.5-4.6 G/DL	ន

Specimen: 0524:H002158

Collected: 05/24/05-2225 Status: COMP Req#: 01225034 Received: 05/24/05-2230 Subm Dr: NATTKEMPER, CRAIG A D.O.

Ordered: CBC

Comments: Comments? ER BED 3

Test	Result	Flag	Reference	Site
COMPLETE BLOOD COUNT HEMOGRAM WHITE BLOOD COUNT RED BLOOD COUNT HEMOGLOBIN	9.6 5.24 11.6	Н	3.5-10.0 K/CMM 3.80-5.20 M/CMM	s s
HEMATOCRIT MCV MCH	35.2 67.1	L L	12.0-15.5 G/DL 35.0-46.0 % 82-99 FL	s s
	22.2	L	27.0-33.0 PG/CELL	S

S - St. Joseph Hospital

2700 Dolbeer St., Eureka, CA

CUI

RUN DATE:05/25/05 RUN TIME:0302

PATROLOGISTS:

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY

St. Joseph Hospital 2700 Dolbeer St., Eureka, Ca 95501

Robert V. Zedelis,MD

Lic. No G17001

Stanley T. Hino, MD Lic. No. G46039

Erik J. Burman, MD Lic. No. G64607 Margaret A. Gordon, MD Lic No. G21047

PAGE 2

PHYSICIAN CHEN MD, CHIA

Pat	Lent: HUSSAIN, DIANE G	ent: HUSSAIN, DIANE G #SA5900002142 (Continued)		ontinued)	
			<u> </u>		· · · · · · · · · · · · · · · · · · ·
T	est	Result	Fläg	Reference	Site
>	MCHC	33.0		32-35 %	s
> .	RBC DISTRIB WIDTH	17.1	H.	11.0-15.0 %	s s
>	PLATELET COUNT	402	H	140-340 K/CMM	
>	NBUT4	64.1	* * * * * * * * * * * * * * * * * * * *	42.0-74.0	8 8
>	LYMPH*	26.0		16.0-45.0 %	S
>	MONO#	6.3		4.0-12.0 %	s
>	EOSŧ	3.0		0.0-7.0 %	8
>	BASO*	0.6		0.0-2.0 %	s S
>	Neut#	6.2		1.5-7.0 K/CMM	S
>	LYMPH#	2.5		1.2-3.4 K/CMM	S
>	моио#	0.6		0.1-0.7 K/CMM	
>	EOS#	0.3		0.0-1.0 K/CMM	3
>	BASO#	0.1		0-0.2 K/CMM	S

^{\$ -} St. Joseph Hospital
 2700 Dolbeer St., Eureka, CA

URINALYSIS

DIPSTICK: 250-500 Bilirubin
MICROSCOPIC: WBC Bacteria Epi Casts Other
NAME: Hussain, Diane
DATE: 2-7-05

ce 2-7 w

RUN DATE: 02/10/05 RUN TIME: 1202

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY Outpatient Laboratory Services 2200 Harrison Eureka CA

PAGE 1

PATHOLOGISTS:

Robert V. Zedelis,MD

Lic. No G17001

Stanley T. Hino, MD Lic. No. G46039

Erik J. Burman, MD

Margaret A. Gordon, MD

Lic. No. G64607 Lic No. G21047

PHYSICIAN CHEN MD, CHIA

PATIENT: HUSSAIN, DIANE G ACCT #: SA0000957646 LOC: ZREDFP.S U #: SU02049887 AGE/8X: 57/F ROOM: REG DR: CHEN MD, CHIA REG: 02/07/05 DOB: 12/17/1947 BED: DIS: STATUS: REG REF TLOC: Specimen: 05:M0002084R COMP Collected: 02/07/05-UNK Source: URINE 02/07/05-1636 Received: 8p Deac: NOS

Procedure

Result

> CULTURE, URINE Final LESS THAN 1000 CFU/ML Site

н

H - Humboldt Central Laboratory 2425 Harrison Ave., Eureka CA

ce 211

RUN DATE: 02/08/05 RUN TIME: 0136

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY

PAGE 1

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G

G

PATHOLOGISTS:

Outpatient Laboratory Services 2200 Harrison Eureka CA

Robert V. Zedelis, MD Lic. No G17001

Stanley T. Hino, MD Lic. No. G46039

3-5

OCCASIONAL

OCCASIONAL

C/S TO FOLLOW

Erik J. Burman, MD Lic. No. G64607

Margaret A. Gordon, MD Lic No. G21047

PHYSICIAN CHEN MD. CHTA

	CHEN NO,	, Chia
PATIENT: HUSSAIN, DIANE G REG DR: CHEN MD, CHIA	ACCT #: SA00 AGE/SX: 57/F	000957646 LOC: ZREDFP.S U #: SU0204988 ROOM: REG: 02/07/05
Specimen: 0207:U00046R Ordered: UA, UMIC	Collected: 02/07/05-UNK Received: 02/07/05-1636	Status: COMP Req#: 01168129 Subm Dr: CHEN MD, CHIA
Test	Result	
URINALYSIS COLOR	wesult.	Flag Reference Site
COLOR APPEARANCE SPECIFIC GRAVITY PH GLUCOSE BILIRUBIN KETONES BLOOD PROTEIN UROBILINOGEN NITRITE LEUKOCYTE ESTERASE URINE MICROSCOPIC	YELLOW SL CLOUDY 1.025 5.5 500 NEGATIVE NEGATIVE SMALL >=300 0.2 NEGATIVE SMALL	G 1.003-1.035 G 4.5-8.5 G 4.5-8.5 G NEGATIVE MG/DL NEGATIVE MG/DL NEGATIVE MG/DL G NEGATIVE MG/DL G NEGATIVE MG/DL G NEGATIVE MG/DL G NEGATIVE MG/DL O.2-1.0 MG/DL NEGATIVE G NEGATIVE G NEGATIVE G NEGATIVE G
WBC RBC	TNTC	H <5 /HPF

G - St. Joseph Hospital - General Hospital Campus 2200 Harrison Ave, Eureka, CA

SQUAMOUS EPITH CELL

ORDER CULTURE?

BACTERIA

<3 /HPF

NEGATIVE /HPF

/LPF

H

RUN DATE:01/04/05 RUN TIME:0132

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY

PAGE 1

PATHOLOGISTS:

Outpatient Laboratory Services 2200 Harrison Eureka CA

Robert V. Zedelis,MD Lic. No G17001

Stanley T. Hino, MD Lic. No. G46039

Erik J. Burman,MD Lic. No. G64607

Margaret A. Gordon, MD Lic No. G21047

PHYSICIAN CHEN MD, CHIA

PATIENT: HUSSAIN, DIANE G REG DR: CHEN MD, CHIA	AGE/SX: Dob:	SA0000939763 57/F 12/17/1947 REG CLI	LOC: ROOM: BED: TLOC:	ZREDFP.S	U #: SU02049887 REG: 01/03/05 DIS:
---	-----------------	---	--------------------------------	----------	--

Specimen: 0103:C00108R

Collected: 01/03/05-0916 Status: COMP Req#: 01147865

Received: 01/03/05-0916 Subm Dr: CHEN MD, CHIA

Ordered: CMP, LPP

Comments: CRITICAL GLUCOSE VALUE CALLED TO [MAMIE]

READBACK DONE: DATE [1/3/05] TIME [1400] INITIALS [DW]

SODIUM POTASSIUM CHLORIDE CARBON DIOXIDE ANION GAP BLOOD UREA NITROGEN CREATININE GLUCOSE CALCIUM BILIRUBIN, TOTAL ALK. PHOSPHATASE AST/SGOT ALT/SGPT TOTAL PROTEIN	130 4.8 101 26 6.0 21 1.2 559 8.6 0.5 165	Н +Н	135-145 MEQ/L 3.6-5.0 MEQ/L 98-107 MMOL/L 22-32 MMOL/L 7-16 5-20 MG/DL 0.7-1.2 MG/DL 75-110 MG/DL 8.4-10.2 MG/DL 0.0-1.3 MG/DL	G G G G
CHLORIDE CARBON DIOXIDE ANION GAP BLOOD UREA NITROGEN CREATININE GLUCOSE CALCIUM BILIRUBIN, TOTAL ALK. PHOSPHATASE AST/SGOT ALT/SGPT	101 26 6.0 21 1.2 559 8.6 0.5	н *н	3.6-5.0 MEQ/L 98-107 MMOL/L 22-32 MMOL/L 7-16 5-20 MG/DL 0.7-1.2 MG/DL 75-110 MG/DL 8.4-10.2 MG/DL	G G G G
CARBON DIOXIDE ANION GAP BLOOD UREA NITROGEN CREATININE GLUCOSE CALCIUM BILIRUBIN, TOTAL ALK. PHOSPHATASE AST/SGOT ALT/SGPT	26 6.0 21 1.2 559 8.6 0.5 165	н *н	98-107 MMOL/L 22-32 MMOL/L 7-16 5-20 MG/DL 0.7-1.2 MG/DL 75-110 MG/DL 8.4-10.2 MG/DL	G G G G
ANION GAP BLOOD UREA NITROGEN CREATININE GLUCOSE CALCIUM BILIRUBIN, TOTAL ALK. PHOSPHATASE AST/SGOT ALT/SGPT	6.0 21 1.2 559 8.6 0.5 165	н *н	22-32 MMOL/L 7-16 5-20 MG/DL 0.7-1.2 MG/DL 75-110 MG/DL 8.4-10.2 MG/DL	G G G G
BLOOD UREA NITROGEN CREATININE GLUCOSE CALCIUM BILIRUBIN, TOTAL ALK. PHOSPHATASE AST/SGOT ALT/SGPT	21 1.2 559 8.6 0.5 165	н *н	7-16 5-20 MG/DL 0.7-1.2 MG/DL 75-110 MG/DL 8.4-10.2 MG/DL	G G G
CREATININE GLUCOSE CALCIUM BILIRUBIN, TOTAL ALK. PHOSPHATASE AST/SGOT ALT/SGPT	1.2 559 8.6 0.5 165	н *н	5-20 MG/DL 0.7-1.2 MG/DL 75-110 MG/DL 8.4-10.2 MG/DL	G G
GLUCOSE CALCIUM BILIRUBIN, TOTAL ALK. PHOSPHATASE AST/SGOT ALT/SGPT	559 8.6 0.5 165		0.7-1.2 MG/DL 75-110 MG/DL 8.4-10.2 MG/DL	G
CALCIUM BILIRUBIN, TOTAL ALK. PHOSPHATASE AST/SGOT ALT/SGPT	8.6 0.5 1.65		75-110 MG/DL 8.4-10.2 MG/DL	G
BILIRUBIN, TOTAL ALK. PHOSPHATASE AST/SGOT ALT/SGPT	0.5 165		8.4-10.2 MG/DL	
ALK. PHOSPHATASE AST/SGOT ALT/SGPT	1.65	**		
AST/SGOT ALT/SGPT		••		G
ALT/SGPT	21	IJ		G
		rı	38-126 U/L	G
TOTAL PROTEIN	19		14-36 U/L	G
	6.3		9-52 U/L	G
ALBUMIN	3.1		6.3-8.2 G/DL	G
LIPID PANEL	3.1	L	3.5-4.6 G/DL	G
CHOLESTEROL	302			
		. Н	0-200 MG/DL	G
•	The National Cholesterol Ed	ucation Prog	gram suggests that a	
·		- じひきったったまりこと	Thologopound: 4-	
TRIGLYCERIDE	onen bio of 200-233 when two	o or more r	isk factors exist.	
HDL CHOLESTEROL	332	H	35-200 MG/DL	G
LDL CHOLESTEROL	38		35-86 MG/DL	G
·	(198)	Н	0160++ 100/pr	G
	When the triglyceride is gro	eater than 2	250 mar/dl hb ror 1	•
	Progressimated	1 and the ca	Moulation is invested	
	when the triglyceride is gra	eater than	100 ma/dl	
	**LDL Cholesterol Therape	utic Gosla	ioo ng/ur.	
	100 or less if CHI) Dregent		
	<130 if no CHD but	2 or more	risk factors present	
	<160 if no CHD	OI HOTE	IIBK IACTORS present	
RISK RATIO	7.9		_	
PATIENT FASTING?	YES		_. <\$	G

G - St. Joseph Hospital - General Hospital Campus 2200 Harrison Ave, Eureka, CA

cc(0305

Os^oporosis Diagnostic Celebr 2773 Harris Street, Suite F

Eureka, CA 95503

RECD FEB 0 9 2007

Phone: 707-445-1600

Fax: 707-445-3778

Bone Density and Vertebral Assessment Report

Name:

Hussain, Diane G

Sex

Female

Patient ID: 215527567

Ethnicity:

Black

Age:

59

Date of Birth: 12/17/1947

Indication: complete hysterectomy, 1974; HT, minimal

Referring Physician: Chen

Study: Bone densitometry and vertebral deformity assessment were performed.

Accession number: 01333607

Bone Density: ABNORMAL STUDY

Region	Exam Date	BMD (g/cm²)	T-Score	Z-Score	Classification	
AP Spine (L1-L4)	02/07/2007	0.803	-3.2	-1.7	Osteoporotic	
Femoral Neck (Left)	02/07/2007	0.563	-2.7	-1.7	Osteoporotic	
Total Hip (Left)	02/07/2007	0.711	-2.0	-1.3	Osteopenic	

Vertebral Deformity Assessment: Exam date 02/07/2007

Impression: No vertebral fracture is seen.

A spine fracture indicates 5X risk for subsequent spine fracture and 2X risk for subsequent hip fracture.

Interpretation: OSTEOPOROSIS; Losses of bone mineral at the spine typically precedes losses at the hip. Risk for future fracture is high.

Recommendations: Continue preventative measures (calcium, trace minerals, vitamins, exercise). Initiate therapy. Repeat study in 12 months.

Reported by: Gena Pennington MD on 02/07/2007 2:00:00 PM.

steoporosis Diagnostic Cente 2773 Harris Street, Suite F Eureka, CA 95503

Phone: 707-445-1600

Fax: 707-445-3778

Name: Patient ID:	Hussain, Diane G	REDACTED	Sex: Ethnicity:	Female Black	Height: Weight:	64.5 in
Age:	59		•	12/17/1947		



Scan Date: February 07, 2007 Scan ID: A0207071B Scan Type: fleft Hip

Scan Date: February 07, 2007 Scan ID: A0207071C Scan Type: f Lumbar Spine

Scan Date: February 07, 2007 Scan ID: A0207071D Scan Type: a SE R/L Lateral Image

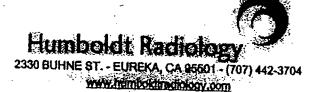
	BMD (g/cm²)	T-Score	PR (%)	Z-Score	AM (%)
Left Hip (Neck)	0.563	-2.7	59	-1.7	70
Left Hip (Total)	0.711	-2.0	69	-1.3	78
Spine (Total)	0.803	-3.2	70	-1.7	81

Total BMD CV 1%

Summary:	
	-

Classification.	
Ostooporotic Ostooporotic	
	Ostooperatic Ostooperale

A spine fracture indicates 5X risk for subsequent spine fracture and 2X risk for subsequent hip fracture.



Matthew Fluke, M.D. Richard Greaney, M.D. Greg Holland, M.D. James Moore, M.D. Abraham Pera, D.O.

Patient: Diane G Hussain Date: 08/29/2006

DOB: 12/17/1947 (58)

Referring Physician: Chia Chen MD

MRN: 00204988701

LEFT HIP-COMPLETE

History: Pain for the past week. No injury.

Comparison: None.

Findings:

There is no recent or old fracture deformity, dislocation, subjuvation, joint space narrowing, arthropathy or evidence of femoral head avascular necrosis. The included portion of the pelvis is unremarkable as

Impression:

Radiographically normal left hip.

Electronically signed by James Moore M.D. JM: Dictarted: 08/30/2008 7:34 a.m. Finalized: 30/30/2008 10.11 a.m.

Hussain, Diane G

Page 1 of 1 MAY 1 5 2007

- FUVIS

Saint Joseph Hospital Diagnostic Imaging Services

Tahoc Carson Radiology LARICE CAISCH RAUIUIDBY

Betsy Card, M.D., Nickolas Carlevato, M.D., Kust Doggwiler, M.D.,
Sheldon Kop, M.D., David Landis, M.D., Stephen Loox, M.D.,
Westley Root, M.D., Keith Shonnard, M.D., Helmuth Vollger, M.D.

Name: HUSSAIN, DIANE G

Exam: THYROID UPTAKE WITH IMAGING MULTI

Date: 09/28/05 & 09/29/05

History: Thyroid nodules. Dominant solid nodule within mid pole left thyroid lobe.

Dose and technique: On 09/28/05 the patient was administered 271 uCi of I-123 orally. Sixhour delayed images were obtained as well as 6-hour uptake values. The patient returned on 09/29/05 and 24-hour uptake values were obtained.

Findings: The 6-hour uptake value is 6.8% which is enthyroid. The 24-hour uptake value is 16.8% which is euthyroid.

On the planar images there is symmetric activity within the thyroid lobes. The thyroid size appears relatively normal. I do not see any discrete focal hot or cold nodules.

IMPRESSION:

1. Euthyroid 6 and 24-hour uptake values 6.8% and 16.8% respectively.

2. No discrete hot or cold nodules detected on the planar images.

Dictated by: NICHOLAS J CARLEVATO MD <Electronically signed by NICHOLAS J CARLEVATO MD>

Patient:

HUSSAIN, DIANE G

DOB:

12/17/1947

57

Examination:

THYROID UPTAKE W IMAGING MULTI

Ord No:

0928-0005

Acct No:

SA0001057912

Unit No:

SU02049887

Ord. Phys:

CHEN, CHIA MD

PACS ID: Dict Date:

SJSSJE0002687 09/29/05 1233

Rep No:

Pri Care Phys:

Pt Statut

REGICLE

Report Status Signed Trans By

TDS - 09/29/05 (407

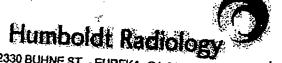
09/30/05 0922 0929-0087

cc: CHIA CHEN, MD

CHIA CHEN MD - Physician Copy

Saint Joseph Hospital, A Sisters of St. Joseph of Orange Corporation, 2700 Dolbert Street, Burcka, California 95501 CC10

Matthew Fluke, M.D. Richard Greaney, M.D. Greg Holland, M.D. James Moore, M.D. Abraham Pera, D.O.



2330 BUHNE ST. - EUREKA, CA 95501 - (707) 442-3704 www.humboldtradfology.com

Patient: **Diane G Hussain** DOB: 12/17/1947 (57)

Date: 09/06/2005

Referring Physician: Chia Chen MD

MRN: 00204988701

ULTRASOUND-THYROID

History: Thymid module for the past two years

Comparison: None.

Fladings:

There is a dominant 1.9 x 2.1 x 2.3 cm solid results in the mid medial pole of the left thyroid lobe. Multiple other cystic and solid legions are report. At the solid legion in the mid pole on the solid legions are solid legions. The solid legions are solid legions are solid legions and solid legions. The solid legions are solid legions are solid legions. The solid legions are solid legions are solid legions. The solid legions are solid legions are solid legions. The solid legions are solid legions are solid legions.

Impression:

Multiple solid and cystic lesions in the thyroid gland, with a dominant mass in the medial mid pole of the left lobe. This lesion measures 1.9 x 2.1 x 2.8 cm, and exhibits a moderate amount of Doppler flow. If function of this nodule has not been previously assessed, a nuclear medicine scan would be worthwhile. If the lesion is photopenic, it should be biopsied.

Electronically signed by James Moore 43.0 JM:gb T: 09/07/2005 7:49 a.m. D: 09/08/2005 6:48 p.m.



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Hussain, Diane G

Page 1 of 1

SLIC 0183



Matthew Fluke, M.D. Richard Greaney, M.D. Greg Holland, M.D. James Moore, M.D. Abraham Pera, D.O.

www.humboldtradiology.com

Patient: Diane G Hussain

DOB: 12/17/1947 (57)

Date: 06/23/2005

Referring Physician: Chia Chen MD

MRN: 00204988701

MAMMOGRAPHY W/CAD-BILATERAL SCREENING

Flattor a Corcenting, no current complaints. No family history of breast cancer.

Comparison: Mad River Hospital study of 11 - 27 - 01.

Breast density: Patty with scattered fibroglands as a success.

Masses: None.

Architectural Distortion: None.

Calcifications: Tiny cluster of microcalcifications superior in the right breast, visible only on the MLO view, and probably present on the prior mammogram. No worrisome clusters.

Other: None.

Impression:

No radiographic evidence of malignancy in either breast. A routine follow-up examination in one year would be appropriate.

BI-RADS 2/Benign Finding

Patient notification letter sent.

James Moore M.D.

Electronically signed by James Moore M.D. JM:gb T: 06/24/2005 7:16 a.m. D: 06/23/2005 2:46 p.m.

£2913

Hussain, Diane G

Page 1 of 1

SI IC 0184

Saint Joseph Hospital Diagnostic Imaging Services

Tahoe Carson Radiology Betsy Cerd, M.D., Nickolss Cartevsto, M.D., Kurt Doggwiler, M.D., Sheldon Kop, M.D., David Landis, M.D., Lovick Thomas, M.D., Helmuth Vollger, M.D.

Name: HUSSAIN, DIANE G

Exam: US DUPLEX CAROTID BILATERAL

Date: 05/25/05

History: Stroke in evolution.



Sagittal and axial images were obtained of both right and left common carotid, internal carotid and external carotid arteries accompanied by pulse Doppler spectral analysis and color-flow Doppler imaging. The vertebral arteries were also evaluated.

Right side:

There is minimal intimal thickening of the distal common carotid artery and proximal internal carotid artery. There are no atherosclerotic plaques. There is no flow-limiting lesion as demonstrated by the peak systolic/peak diastolic velocities. The internal carotid/common carotid artery ratio was 0.86. Pulse Doppler waveforms reveal triphasic pattern with spectral broadening. Color-flow imaging revealed turbulence in the bulb. Flow in the vertebral artery was antegrade.

Left side:

There is diffuse thickening of the intima, but no atherosclerotic lesions in the common carotid artery, bulb or internal/external carotid arteries. Flow velocities were uniform. The internal carotid/common carotid artery ratio was 1.1. Pulse Doppler waveforms were triphasic throughout. The color-flow studies showed minimal turbulence at the bulb. Flow in the

IMPRESSION:

- There is no flow-limiting lesion in the right or left common carotid artery, internal carotid
- 2. Flow in the vertebral arteries is antegrade.

A report was called to Dr. Burton's office and left with the secretary.

Patient:

HUSSAIN, DIANE G

DOR:

12/17/1947

Age:

Examination: Ord No:

US DUPLEX CAROTID BILATERAL

0525-0010

Acct No:

SA5900002142

Unit No:

SU02049887

Ord. Phys:

BURTON MD, ALLISON

PACS ID:

SJSSJE0002687

Pri Care Phys:

CHEN MD, CHIA

Dict Date

05/25/05 1320

05/26/05 0007

It Status ADM IN C: ALLISON BURTON MD, CHIA CHEN MD

Report Status Signed

LH - 05/25/05 1453

9525-8144

ALLISON BURTON MD - Physician Copy

Saint Joseph Hospital, A Sisters of St. Joseph of Grange Corporation, 2700 Dolboer Street, Eureka, California 95501

Pege 1 of 2

Salat Joseph Hospital Diagnostic Imaging Services

Tahoe Carson Radiology Betry Card, M.D., Nicholss Carlevato, M.D., Kurt Doggwiler, M.D., Sheldon Kop, M.D., David Landis, M.D., Lovick Thomas, M.D., Helmuth Vollger, M.D.

Name: HUSSAIN, DIANE G

Exam: CT BRAIN/HEAD WITHOUT CONTRAST

Date: 05/24/05

History: Right-sided numbness.

There are no hemorrhages, infarcts, masses or mass effect. The ventricles and cisterns are normal in size and symmetry. The gray-white interfaces show no architectural distortion. There are no extra-axial collections. The sinuses are clear.

IMPRESSION:

Negative for acute intracranial abnormality.

Dictated by: JOZSEF LUKACS MD <Electronically signed by IOZSEF LUKACS MD >

Patient:

HUSSAIN, DIANE G

Examination:

CT BRAIN/HEAD WO CONTRAST

Ord No:

0524-0045

Acct No:

SA5900002142

Ord. Phys:

NATTKEMPER, CRAIG A DO

Pri Care Phys:

CHEN MD, CHIA

Pi Status:

ADM (N

c: Craig a nattkemper, D.O.; Chia Chen MD

Report Status: Signed

DOB:

12/17/1947

Age:

57

Unit No:

SU02049887

PACS ID: Dict Date:

Trace By:

SJSSJE0002687

TDS - 05/25/05 1338

05/25/05 1306

Rep No:

05/26/05 0007 6525-0134

CHIA CHEN MD - Physician Copy

Ssint Joseph Hospital, A Sistors of St. Joseph of Orange Corporation, 2700 Dolboor Street, Eureka, California 95501

CC 526

Saint Joseph Hospital Diagnostic Imaging Services

Tation Carton Radiology

Beny Cord, M.D., Nichaise Codower, M.D., Kart Dogender, M.D.,

Kop, M.D., Devid Laufe, M.D., Lorick Thomas, M.D., Rekman Vallgor, M.D.

Name: HUSSAIN, DIANE G

Exam: CARDIAC SPECT STRESS & REST

MYOCARDIAL PERF. WALL MOTION

MYOCARDIAL PERFUSION EJF

Date: 07/07/05

History: Chest pain.

Technique: Under the supervision of Dr. Ploss the patient received intravenous Adenosine. This was followed by administration of 30 mCi Tc99m-sestamibi with SPECT imaging. Initially the patient had a study with 10 mCi of Tc99m-sestamibi. A wall motion study was performed.

Findings: Ejection fraction is low at 45%. There is decreased wall motion involving the distal and mid lateral myocardium. There is decreased wall thickening involving the inferior myocardium. The stress images do not demonstrate any significant anterolateral myocardial defects. However, inferior towards the base there is decreased perfusion to a mild extent. This does not appear to fill in significantly to suggest ischemia. Differential would include an old infarct or disphragmatic attenuation. There is apical thinning.

EMPRESSION:

1. Left ventricular enlargement with 45% ejection fraction.

2. Areas of wall motion abnormalities involving the lateral and inferolateral myocardium.

3. Mild persistent defect involving the inferior myocardium and possibly an old infarct. No significant ischemia is identified.

Distated by: HELMUTH F VOLLGER MD Electronically signed by HELMUTH F VOLLGER MD>



Patient:

HUSSAIN, DIANE G

12/17/1947

CARDIAC SPECT STRESS & REST, MYOCARDIAL FERF. WALL MOTION; MYOCARDIAL

Examination:

PERFUSION EJF

Ord No:

0707-0001; 0707-0002; 0707-0003

Acct No:

SA0001012521

Unit No:

SU02049887

Ord. Phys:

PLOSS, DAVID R MD

PACS ID:

SJSSJE0002687

Pri Care Phys:

Dice Days

07/07/01 1430

ft Stanc 25G (7.1 OF DAVIDE PLOCE NO

A C-07/07/05 1614

97/07/05 1722

Trust By:

41024155

DAVID R PLOSS, MD - Physician Copy

Saint Joseph Moupical, A Signet of St. Iter you of Orange Corporation, 1700 Dullmar St. Page 1 oft

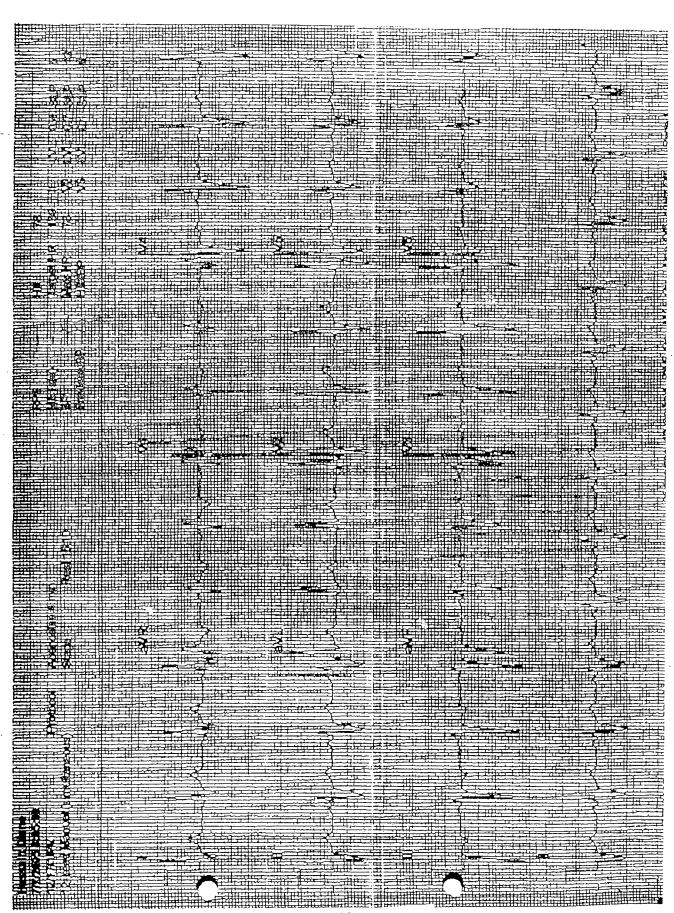
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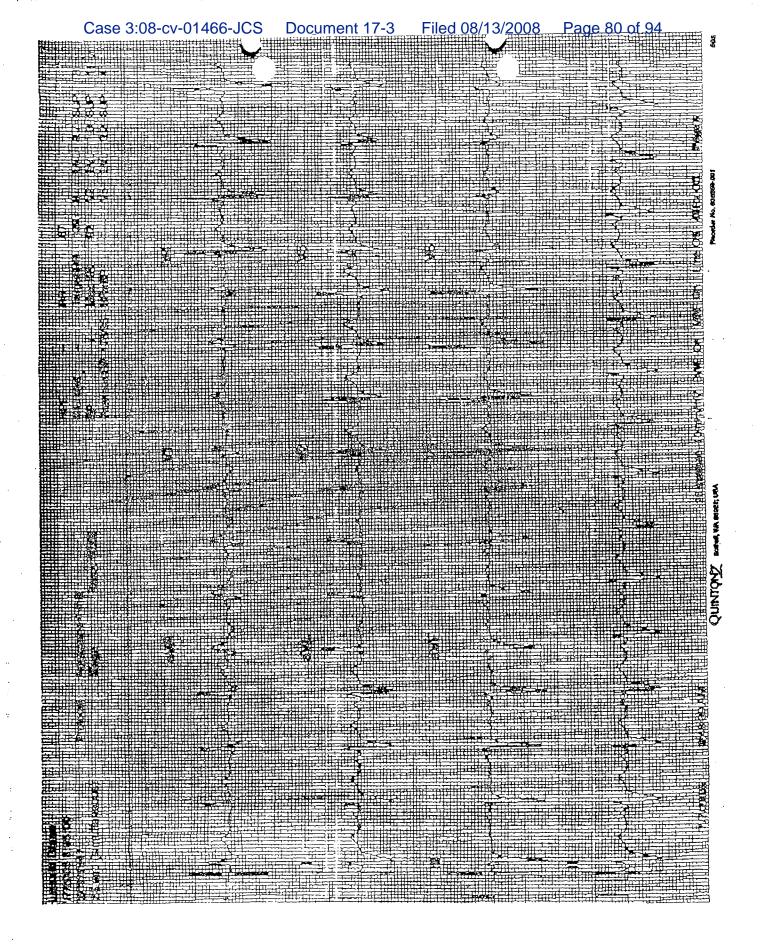
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SLIC 0189

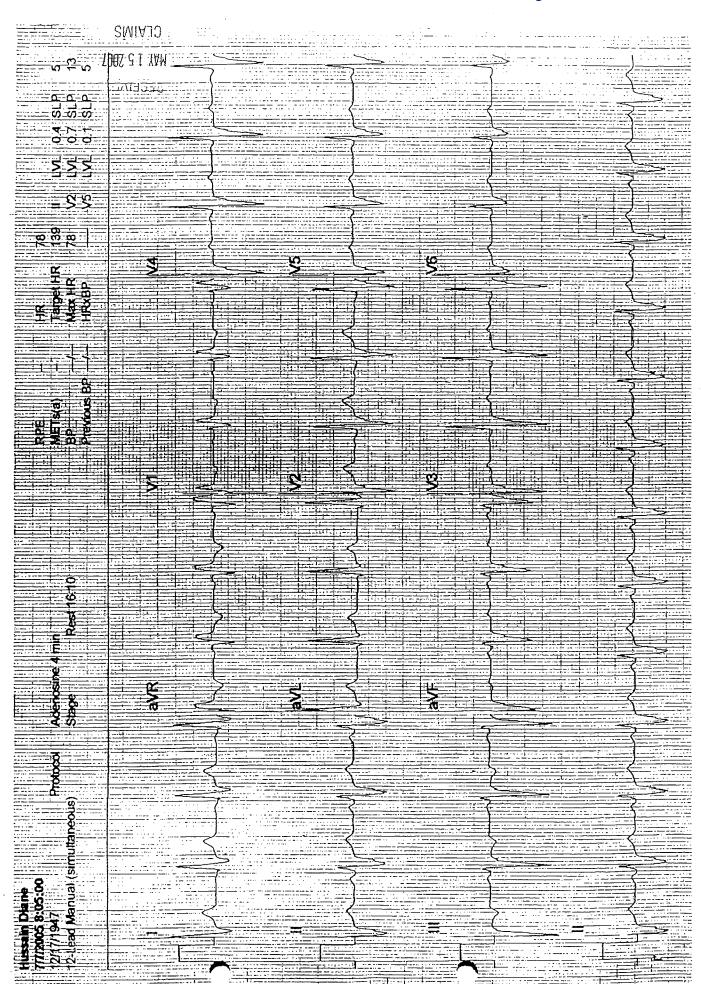
CLAIMS



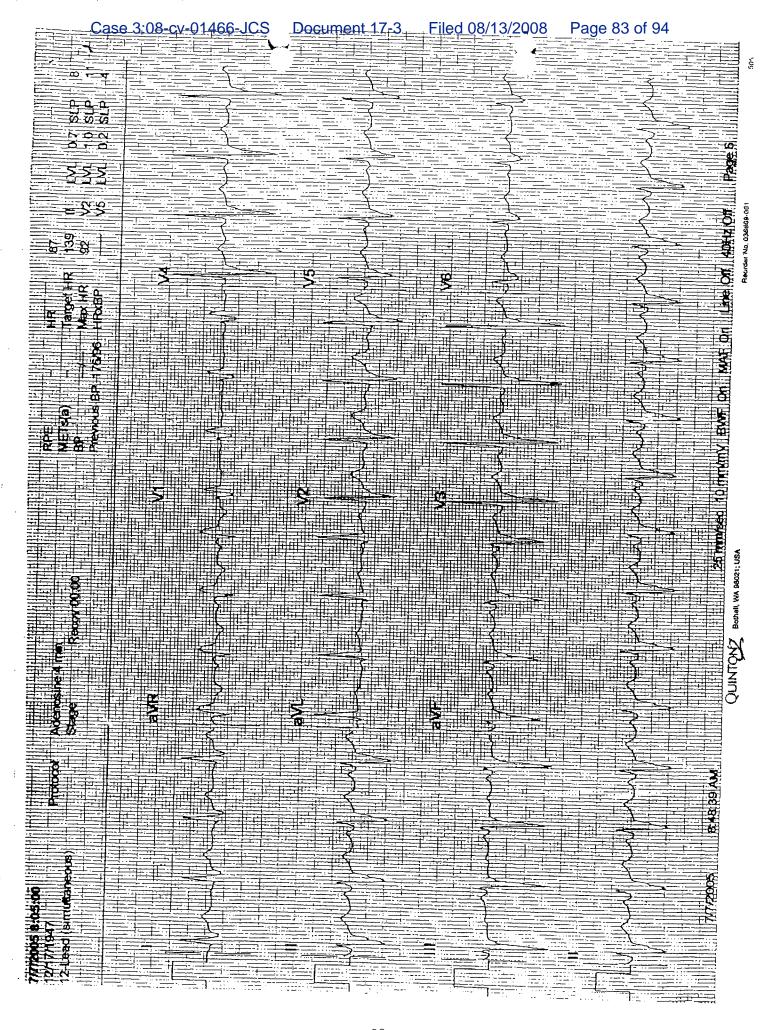


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LIC 0193



From: VECTOR PHYSICAL TH - Y

17074458883

11/ \$2006 13:15 #055 P.002/003



PHYSICAL THERAPY DISCHARGE SUMMARY

PATIENT NAME: Diane Hussain
DIAGNOSIS: Left Sciatica
PHYSICIAN: Dr. Chen
DATE OF DISCHARGE: 11/10/0/6

Dear Dr. Chen:

Your patient, Diane, was seen on 9/15/06 for an initial evaluation. Diane has requested discharge by phone at this time due to:

Patient has been "re-diagnosed".

Therefore, a formal discharge evaluation was not performed.

Patient has made the following progress toward physical therapy goals:

 Patient will be independent with a home management regimen to prevent or minimize pain while performing her usual activities. (Not met.)

2. Patient will demonstrate increased strength and endurance of lumbopelvic stabilizers. (Not met.)

Thank you very much for referring this patient to Vector Rehabilitation Physical Therapy.

Sincerely,

Claire Eyton, P.T., C.L.T.

Physical Therapy Center 2822 Hazds Street, Eureks, CA 95503 707.445.8881 (P) 707.445.8883 (F)

Sleep Disorders Center

St. Joseph Hospital

2700 Bolbeer Street 2367 23" street Eureka, Celifornia 95501 Eureks, Cs. 95501 707,445,8121 Tel 701.445.7495 Main Number 707.443.7439 Fox Number FAX: # OF PAGES: (INCLUDING THIS COVER SHEBT) PHYSICIAN'S LETTER OF MEDICAL NECESSITY PAP PRESCRIPTION DOB: Patient Name: Diagnosis: Mask: C-Flex cm H2O CPAP BI-Flex cm H2O IPAP: BIPAP cm H2O EPAP: Chin Strap Oxygon Nasal Congertion chronic. Reason: Heated Humidifier PAP unit is required for this patient due to the shove diagnosis. I, the undersigned, pertify that this prescription is reasonable and necessary according to accepted standards in treatment. The above named patient, if untreated, remains a risk for cardiac arrhythmia, hypertension, heart failure, stroke, diabetes, and other co-morbid medical conditions associated with obstructive sleep appear. Additionally, it has been demonstrated that the use of this device will improve sleep exchitecture disruption resulting from obstructive sleep since, as well as long-term reversal of symptoms (such as excessive daytime somnolence, difficulties in concentration, falling asleep inappropriately, and depression). Cc2/2/02 Physician's Signature MERCE. In prisonation arctical to his framely awards and committee and continued the con-respined of an incide makes but any discoverable, discharges at majory of the same artificial amounts to at all E. Levick Incides, framely from a first the conof Communities, Schrieben er ungebel, al bes representation in melale problem I van ener A Microspy of the Sisters of St. Joseph

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Edx:TUf4437459

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a! Grange

HUSSAIN,DIANE G

Atrike 01/26/05

Dioband Ry: OSBORN MD, KURT M

POLYSOMNOGRAPHY

NO: 20005-058

REFERRED BY: CHIA CHEN, MD

CLINICAL HISTORY: This 57-year-old disabled woman has studied for likely sleep apnea. She has difficulty falling and staying asleep with lethergy even when not eleepy. She tends to sleep on either side but not her stomach. Other eleep-related symptoms include occasional violent confused awakenings, disturbing dreams, vivid dream-like themes when awake, breath holding, waking up gasping for breath from anoring, pain, reatless disturbed sleep. During the day she has episodes of sudden bodily weakness while being aware of surroundings and sleep attacks with many sedentary activities. She has I planned and 3 unplanned naps per day. Her sleep-wake schedule is to bed at 12:30 a.m. with a 7:30 awakening, a 30-minute sleep latency, 3 awakenings during the night.

She has a family history of snoring and sleep apnea. Personal history of diabetes, depression, arthritis, numb feet, excessive thirst, foot pain, high blood pressure and cholesterol, muscle weakness, frequent nocturnal urination and tingling sensations. Her medicines for eleep Include temazepam 30 mg nightly. Sha also takes a Duragesic patch 100 mcg every 3 days, Catapree #3, Norvasc, Lopressor, Amaryl, Humulin insulin and Lamus insulin. She has had hepatitis in 2003, toxic; does amoke, amount unspecified but a 20-year-smoking history. No alcohol. No ouffeinated beverages. She does exercise but does not specify how. She is currently 5 feet, 5 Inches tall and weighs 208 pounds, BMI 34.6.

TECHNIQUE: The study was performed with the following parameters measured throughout the entirety of the recording: Electroencephatogram, electromyogram of the ohin and lower extremities, electrocculogram, electrocardiogram, air flow from the nose and mouth, respiratory effort at the chest and abdomen, and finger oximetry.

The record was scored for sleep and the various other parameters in SQsecond epochs.

This study began at 2248 and ended at 0619 for a total recording time of 457.4 minutes. Stanford Sleepiness Scale lights out was 5 and the Epworth Sleepiness Scale 19. This is a split night study.

Attn Phys:

CHEN MD, CHIA

Adm Phys:

Diet Phys: OSBORN MD, KURT M

HEALTH SYSTEM

Patient: HUSSAIN, DIANE G

Acet #: SA0000948934 Unit #: SU02049887

Loc: SLEEP.G .

DOB: 12/17/1947 Ago: 57

Report #: 0201-0201

Site: St. Joseph's Hospital Eureka 1700 DOLBBER RD., EUREKA, CA

<Electronically signed by KURT M OSBORN
</p>

POLYSOMNOGRAPHY

1 of 3

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STEEL DISORDER CIR

HUSSAIN,DIANE G

datate 01/26/05

Direct By: OSBORN MD, KURT M

SLEEP PARAMETERS: Pre CPAP total recording time 150 minutes. Total sleep time 122.5 minutes. Sleep latency 5 minutes. Awake after sleep onset 8 minutes. Stage 1 14.7%, stage 2 71.8%, stage 3 13.1%, stage 4 0.4%. REM was never softleved pre CPAP. There were 8 awakenings by count and additionally 57 arousals. Twenty one of these were from snoring and 20 from hypopnea, 3 from apnea. Arousal index elevated at 27.8. Sleep efficiency 82%. The sleep architecture was lightened with no stage 4 or REM sleep with an increasing numbers of arousals.

ELECTROENCEPHALOGRAM: Normal for aleep stage.

ELECTROCARDIOGRAM: A steady sleep average of 68.4 beats per minute in normal sinus rhythm. Pulse CPAP fell to 60.6 bests per minute.

RESPIRATORY: Thirty-two supine and 3 nonsupine events for an AHI of 17.1 with strong fractional component of 27.4. RDI was higher including encre arousals again 27.4. Oxygen saturation 94.6%, lowest 90%. Desaturation index 16.7. Mild-to-severe enoring was heard.

ELECTROMYOGRAM: Only 8 legisted limb movements, none meeting PLM criteria either pre or poet CPAP.

CPAP titration was performed with a Respironics small comfort get mask employing C-flex technology in a heated humidifier. CPAP was litrated from 4 to eventually 11 cm of water. It required this pressure to clear enoring. REM supine was achieved twice at 10 and 11 cm of water. Some alpha intrusion was noted. Oxygen saturation stabilized.

IMPRESSION:

OBSTRUCTIVE SLEEP APNEA, MILD TO MODERATE, POSITIONAL, OVERALL APNEA-HYPOPNEA INDEX 17.1 WHILE TREATED WITH CONTINUOUS POSITIVE AIRWAY PRESSURE AT A PRESSURE OF 11 CM OF WATER. SUPINE RAPID EYE MOVEMENT WAS ACHIEVED.

COMMENT:

KURT M OSBORN, MD

KMO/MEDQ Job#: 434950 DD: 01/28/2005 10:58:49 DT: 01/30/2005

15:50:43

Ann Phys: CHEN MD, CHIA

Adm Phys:

Diet Phys: OSBORN MD, KURT M

ST. IOSEPH HEALTH SYSTEM Patient: HUSSAIN, DIANE G

Acct #: SA0000948934 Unit #: SU02049887

Loc: SLEEP.G.

DOB: 12/17/1947 Ago: 57

Report #: 0201-0201

Sita: St. Joseph's Hospital Eureka 2700 DOLBEER RD., BUREKA, CA

<Electronically signed by KURT M OSBORN</p>

POLYSOMNOGRAPHY

2 of 3

SLIC 0198

P. 04 Feb 2 2005 10:31

Pax: 10/4437459 SLEEP DISORDER CIR RUN DATE: 04/11/07

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY

Outpatient Laboratory Services 2200 Harrison Eureka CA RUN TIME: 1202

PATHOLOGISTS:

Megan J. Smith-Zagone, MD

Stanley T. Hino, MD Lic. No A89551 Lic. No. G46039

Erik J. Burman, MD Lic. No. G64607

PHYSICIAN CHEN, CHIA MD

PATIENT: HUSSAIN, DIANE G

ACCT #: SA0001307950 LOC: UC.G

U #: SU02049887

AGE/SX: 59/F

ROOM:

REG: 04/07/07

PAGE 1

REG- DR: MCCAFFREY, KATE-DO DOB:

12/17/1947 BED:

DIS:

TLOC: STATUS: DEP ER

Specimen: 07:M0004812S COMP

Collected: 04/07/07-1900

Source: SPUTUM

Received:

04/08/07-0831

8p Desc: SPUT

Comments: Comments? UC1

DELAYED TRANSPORT MAY AFFECT CULTURE RESULTS

Procedure

Result

Site

G

CULTURE, SPUTUM Final

Organism 1

QUANTITATION DESCRIPTION/COMMENT YEAST

MANY

TWO COLONY TYPES

MANY COLONIES OF NORMAL THROAT FLORA

G - St. Joseph Hospital - General Hospital Campus 2200 Harrison Ave, Eureka, CA

60

RECEIVE"

MAY 1 5 2007

CLAIMS :

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY RUN DATE:04/09/07 ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY
RUN TIME:1202 Outpatient Laboratory Services 2200 Harrison Eureka CA PAGE 1

PATHOLOGISTS:

Lic. No A89551

Megan J. Smith-Zagone, MD Stanley T. Hino, MD Erik J. Burman, MD

Lic. No. G46039 Lic. No. G64607

PHYSICIAN CHEN, CHIA MD

ACCT #: SA0001307950 LOC: UC.G PATIENT: HUSSAIN, DIANE G

AGE/SX: 59/F ROOM:

U #: SU02049887 REG: 04/07/07

DOB: 12/17/1947 BED:

DIS:

STATUS: DEP ER TLOC:

Specimen: 07:M0004812S RES Collected: 04/07/07-1900

REG DR: MCCAFFREY, KATE DO

Source: SPUTUM

Received: 04/08/07-0831

Sp Desc: SPUT

Comments: Comments? UC1

DELAYED TRANSPORT MAY AFFECT CULTURE RESULTS

Procedure

Result

Site

G

> GRAM STAIN Final

GRAM STAIN

MODERATE YEAST SEEN

MODERATE GRAM POSITIVE COCCI MIXED TYPES

FEW GRAM POSITIVE RODS AND GRAM NEGATIVE RODS

MANY WHITE BLOOD CELLS SEEN MODERATE EPITHELIAL CELLS

G - St. Joseph Hospital - General Hospital Campus 2200 Harrison Ave, Eureka, CA

CC

Saint Joseph Hospital Outpatient Imaging Center

Humboldt Radiology Medical Group Marthew Fluke, M.D., Richard Greaney, M.D., Greg Holland, M.D., James Moore, M.D., Abe Pera, D.O., Donald Wheeler, M.D.

Name: HUSSAIN, DIANE G

Exam: MAMMOGRAPHY, SCREENING, BILAT

Date: 04/04/07

History:

Routine screening.

Technique:

Bilateral, digital, with computer-assisted detection (CAD).

Comparison: Humboldt Radiology, 6/23/05 and 11/27/01 at Mad River Hospital.

Findings:

Breast Density: Scattered fibroglandular densities. Stable symmetric pattern.

Masses: None.

Architectural Distortion: None.

Calcifications: There is a small collection of probable benign microcalcifications in the upper outer right breast that were visualized on the CC view of the prior exam in 2001 but could not be located on the MLO view. They have probably not changed appreciably since 6/23/05.

Other: None.

IMPRESSION:

Probably no significant change in small collection of benign-appearing microcalcifications in the upper outer right breast that have been present since at least 11/27/01. No specific mammographic indicators of malignancy in either breast.

BI-RADS 2/Benign Finding

Dictated by: DEAN GREGORY HOLLAND MD <Electronically signed by DEAN GREGORY HOLLAND MD>

1.6

Patient:

HUSSAIN, DIANE G

DOB:

12/17/1947

Examination:

MAMMOGRAPHY, SCREENING, BILAT

Ord No:

0404-0042

Acct No:

SA0001303551

Unit No:

SU02049887

Ord. Phys:

CHEN, CHIA MD

PACS 1D: Dict Dute.

SJSSJE0002687

Pri Care Phys:

CHEN, CHIA MD

04/05/07 1659

cc: CHIA CHEN, MD -

RBG CLI

Report Status: Signed

Trans By:

GB - 04/05/07 1651

04/05/07

Rep No

CHIA CHEN MD - Physician Copy

Saint Joseph Hospital, A Sisters of St. Joseph of Orange Corporation, 2700 Dolbeer Street, Eureka, California 95501

Page 1 of 1

RUN DATE: 03/13/07 RUN TIME: 1202

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY Outpatient Laboratory Services 2200 Harrison Eureka CA

PAGE 1

Site

G

PATHOLOGISTS:

Procedure

Megan J. Smith-Zagone, MD Lic. No A89551

Stanley T. Hino, MD Lic. No. G46039

Erik J. Burman,MD Lic. No. G64607

PHYSICIAN CHEN, CHIA MD

PATIENT: HUSSAIN, DIANE G ACCT #: SA0001292528 LOC: UC.G U #: SU02049887 AGE/SX: 59/F ROOM: REG: 03/10/07 REG DR: DUNN, JAMES MD DOB: 12/17/1947 BED: DIS: STATUS: DEP ER TLOC:

Specimen: 07:M0003413S COMP

Collected: 03/10/07-1906

Result

MODERATE

Source: GROIN

Received: 03/10/07-2011 Sp Desc: LEFT

Comments: Is patient on antibiotics? N

> CULTURE, WOUND/TISSUE Final Organism 1 KLEBSIELLA PNEUMONIAE QUANTITATION FEW Organism 2 STREP AGALACTIAE GROUP B QUANTITATION MODERATE Organism 3 STREPTOCOCCUS SPECIES QUANTITATION

DESCRIPTION/COMMENT MICROAEROPHILIC GROUP B STREPTOCOCCUS ISOLATES ARE UNIVERSALLY SUSCEPTIBLE TO PENICILLIN AND AMPICILLIN. PLEASE CONTACT MICROBIOLOGY LAB IF SENSITIVITIES ARE REQUIRED FOR PATIENTS WITH

PENICILLIN ALLERGY.

	KLE PNEUMO		
	M.I.C.	RX	
AMIKACIN	<=2	g	
AMPICILLIN	>=32	R	
A/S	4	3	
PIPERACIL/TAZO	<=4	g	
CEFAZOLIN	<=4	.	
CEFEPIME	< = 1	S	
CEFOTETAN	<=4	8	
CEFTAZIDIME	<=1	g	
CEFTRIAXONE	<=1	8	
GENTAMICIN	<=1	s	
TOBRAMYCIN	<=1	Š	
IMI PENEM	<=1	s	
AZTREONAM	<=1	S	
TRIMETH/SULF	<=20	S	
LEVOFLOXACIN	<=0.25	s	

G - St. Joseph Hospital - General Hospital Campus 2200 Harrison Ave, Eureka, CA

RUN DATE: 03/12/07

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY

PAGE 1

RUN TIME: 1201

Outpatient Laboratory Services 2200 Harrison Eureka CA

PATHOLOGISTS:

Megan J. Smith-Zagone, MD Lic. No A89551

Stanley T. Hino, MD

Erik J. Burman, MD Lic. No. G64607

Lic. No. G46039

PHYSICIAN CHEN, CHIA MD

PATIENT: HUSSAIN, DIANE G

ACCT #: SA0001292528 LOC: UC.G

U #: SU02049887

AGE/SX: 59/F

ROOM:

REG: 03/10/Q7

REG DR:

DUNN, JAMES MD

DOB: 12/17/1947

BED: ~

DIS:

STATUS: DEP ER

TLOC:

Collected:

03/10/07-1906

Source: GROIN

Specimen: 07:M0003413S RES

Received:

03/10/07-2011

Sp Desc: LEFT

Comments: Is patient on antibiotics? N

Procedure

Result

Site

G

> CULTURE, WOUND/TISSUE Preliminary

GRAM NEGATIVE ROD

Organism 1 QUANTITATION

DESCRIPTION/COMMENT

SENSITIVITY TO FOLLOW BETA HEMOLYTIC STREPTOCOCCUS

Organism 2 QUANTITATION

MODERATE

(0

DEDERME:

MAY 1 5 2007

CLAIMS.

G - St. Joseph Hospital - General Hospital Campus 2200 Harrison Ave, Eureka, CA

RUN DATE: 03/11/07

ST. JOSEPH HEALTH SYSTEM-HUMBOLDT COUNTY Outpatient Laboratory Services 2200 Harrison Eureka CA PAGE 1

RUN TIME: 1201 PATHOLOGISTS:

Megan J. Smith-Zagone, MD

Lic. No A89551

Stanley T. Hino, MD

Lic. No. G46039

Erik J. Burman,MD Lic. No. G64607

PHYSICIAN CHEN, CHIA MD

 PATIENT: HUSSAIN, DIANE G
 ACCT #: SA0001292528 LOC: UC.G
 U #: SU02049887

 AGB/SX: 59/F
 ROOM:
 REG: 03/10/07

REG DR: DUNN, JAMES MD DOB: 12/17/1947

BED: DIS:

· (c

STATUS: DEP ER TLOC:

Specimen: 07:M0003413S RES **Collected:** 03/10/07-1906 **Source:** GROIN

Received: 03/10/07-2011 Sp Desc: LEFT

Comments: Is patient on antibiotics? N

Procedure Result Site

> CULTURE, WOUND/TISSUE Preliminary G

Organism 1 GRAN NEGATIVE ROD
QUANTITATION FEW

G - St. Joseph Hospital - General Hospital Campus 2200 Harrison Ave, Eureka, CA

EXHIBIT E

Insurance Company

Administrative Office: 2700 West Plano Parkway • Plano, Texas 75075-8200

June 12, 2007

Terri C. Smith 99 Horseshoe Lane Hiram GA 30141

Policy Number(s):

72A45P0585

Claim Number(s):

B-651853

Regarding:

Diane Geraldine Hall-Hussain

Dear Ms. Smith:

Consideration has been given to your request for benefits. Please let me explain our handling.

This policy consists of Accident Coverage only. The Accidental Death Benefit is a benefit paid for a Covered Person who suffers loss of life as a result of injury. Injury means bodily injury caused by an accident which occurs while this Policy is in force. The Injury must be the direct cause of Loss, independent of disease or bodily infirmity. Loss must occur within 90 days after the date of an accident which caused such Injury.

The EXCLUSIONS section states, "No benefit shall be paid for Injury that: ...

- 3. is caused by or results from the Covered Person's taking or using any narcotic, barbiturate or any other drug, unless taken or used as prescribed by a Physician; or
- 7. is due to disease, bodily or mental infirmity, or medical or surgical treatment of these."

The death certificate provides a cause of death as Oxycodone Intoxication. How the injury occurred is listed as "Decedent took an accidental overdose of Oxycodone." Dr. Chen informed us that the Oxycontin was prescribed for chronic pain. We contacted Humboldt County Deputy Coroner, Mr. Horton, and were informed that he had spoken with Dr. Chen. Dr. Chen advised Mr. Horton that she recently increased your mother's Oxycontin dose. Mr. Horton stated that the increase in the amount of medication would not make a difference as the number of pills your mother took was far more than what was prescribed. He indicated that her Oxycodone level would have been lethal on its own without the affects of the other medications found, and that her bronchitis was exacerbated by the excessive drug level. Also, the Oxycodone was being taken for the medical treatment of a disease or bodily infirmity which is excluded from coverage. Based upon this information, the provisions and Exclusions listed above we are unable to provide benefits. It does not appear that the death comes under the coverage terms of the policy.

W

Page 2

Policy Number(s):

72A45P0585

Claim Number(s):

B-651853

Regarding:

Diane Geraldine Hall-Hussain

Our position is based on the information in our file and our denial should not be considered a waiver of any other Company defenses. If you have additional information which you feel may affect our handling, please forward it to us for our review. Again, we would like to express our sympathy to you and your family for the loss of your mother. If you have any questions about the policy, please call us on our toll-free number, 1-800-692-5246, my extension is 6265. Our fax number is 972-881-6367, and our e-mail address is claimsdms@aegonusa.com.

Sincerely,

Judy Lovelady, ALHC Technical Claims Specialist Claims Department

P.S. Should you feel your claim has been improperly denied or rejected, we want you to know you may contact the California Department of Insurance with your complaint and seek assistance from the governmental agency that regulates insurance. To contact the Department, write or call: California Department of Insurance, Consumer Communications Bureau, 300 South Spring Street, South Tower, Los Angeles, CA 90013. The toll-free number is 1-800-927-HELP (4357)-within CA or 1-213-897-8921-outside CA.

Cc: Michelle C. Smith

EXHIBIT F

STENNETT CASINO

Attorneys at Law

Koll Center 501 West Broadway Suite 1340 San Diego, CA 92101 (619) 544-6404 fax (619) 233-3796 www.StennettCasino.com

July 20, 2007

Judy Lovelady Stonebridge Life Insurance Company 2700 West Plano Parkway Plano, Texas 75075

RE:

Terri and Michelle Smith Policy No: 72A45PO585 Claim No: B-651853

Dear Ms. Lovelady:

I am writing to ask that Stonebridge reconsider its denial of benefits to my client under the relevant accidental death policy and reconsider its refusal to provide all documents requested by Ms. Casino of my office with her letter of June 15, 2007.

Your letter of June 12, 2007, advising my client Terri Smith that Stonebridge Life was denying her claim for benefits cited two exclusions within the policy upon which Stonebridge Life was basing its denial. As interpreted by Stonebridge Life, neither of these two exclusions are valid under California law.

Stonebridge's policy which provides "accidental death and dismemberment coverage is considered a disability policy under the California Insurance Code.

Disability insurance includes insurance appertaining to injury

CLAIMS

Disability insurance includes insurance appertaining to injury, disablement or death resulting to the insured from accidents.... (California Insurance Code § 106).

The California Insurance Code sets forth provisions that must be contained within disability policies and also sets forth the exclusions that may be included in disability policies. The limitations and exclusions that may be contained in a disability policy delivered or issued to a person in the State of California are set forth in sections 10369.2 to 10369.12, inclusive. No limitations or exclusions are allowed in disability policies which are "less favorable in any respect to the insured or the beneficiary." (Section 10369.1).

July 20, 2007 Stonebridge Life Insurance Company Page Two

California Insurance Code § 10369.12 provides:

A disability policy may contain a provision in the form set forth herein.

Intoxicants and controlled substances: The insurer shall not be liable for any loss sustained or contracted in consequence of the insured's being intoxicated or under the influence of any controlled substance unless administered on the advice of a physician. (emphasis added).

Stonebridge Life denied my client's claim based on an exclusion that reads:

No benefit shall be paid for injury that:

3. is caused by or results from the Covered Person's taking or using any narcotic, barbiturate or any other drug, unless taken or used as prescribed by a Physician; (emphasis added)

Stonebridge Life's exclusion violates California law in that it is narrower than is allowed by statute. Thus, the provision is rewritten to conform to the California Insurance Code. (Holloway v. J.C. Penney Life Insurance Co. 190 F.3d 838). Stonebridge asserts that the insured took more than the prescribed amount of Oxycontin resulting in her death. Though we dispute that assertion, it truly does not matter if she was taking more than was prescribed by her physician. The statutory language "administered on the advice of a physician" has been interpreted by the courts as "not imposing the strict requirement of following prescribed doses to the letter." (Hummel v. Continental Casualty Insurance Company 254 F.Supp. 2d 1183, 1189). In the Hummel case, Mrs. Hummel made a claim for accidental death benefits following the death of her daughter, Erica. Erica had been prescribed Oxycodone to alleviate her migraine headaches. Her prescription directed that the Oxycodone be taken twice a day as needed. She apparently took substantially more than was prescribed and died as a result of the overdose. Continental Casualty denied the claim citing the policy provision identical to Stonebridge which excluded loss from "drugs unless taken as prescribed by a physician." The court, however, interpreted the limitation as more narrow than that allowed by law which interpreted "administered on the advice of a physician" as not requiring the insured to take the medication as prescribed.

RECEIVED

This exact same issue was litigated by this firm in a United States District Court case of Legue 2 7 2007 Canada Life Assurance Co. I have enclosed herewith the court's "Findings of Fact and **CLAIMS**

July 20, 2007 Stonebridge Life Insurance Company Page Three

Conclusions of Law" following trial of the matter. You will note therein that the court states

Defendants have also failed to prove the substances found in Mr. Legare's system were not administered on the advice of a physician. Contrary to defendant's argument, the statutory language does not require a showing the decedent was taking the medication exactly as prescribed. Following the rationale of Hummel . . . the court finds the focus of the statutory limitation is to exclude losses resulting from the illegal use of drugs as opposed to legitimate use of a controlled substance pursuant to a physician's advice. (Page 3:lines 18-24).

The second exclusion cited by Stonebridge reads as follows:

No benefit shall be paid for injury that:

7. is due to disease, bodily or mental infirmity, or medical or surgical treatment of these.

There is no definition of "medical treatment" in the policy. I would submit that pain medication is not a "medical treatment of a disease." Rather, it is a method of masking pain which is a symptom of a disease. It is submitted that the intent of this provision is to exclude death or injury caused at the hands of a medical provider such as during surgery. At a minimum the provision is vague and ambiguous and in California, where more than one reasonable interpretation may be made of a provision in an insurance policy, an exclusion is interpreted in its narrowest sense so as to grant the greatest possible coverage.

To interpret the medical treatment exclusion as Stonebridge has also is inconsistent with California Insurance Code § 10369.12 cited above that prohibits excluding losses caused by the use of medication prescribed by a physician. To the extent that it is inconsistent with the California Insurance Code it is void.

Regarding your refusal to provide the medical records pertaining to decedent Diane Geraldine Hall-Hussain, upon which Stonebridge Life based its denial of benefits, I would remind you that Stonebridge Life has a fiduciary-like duty to my client to treat her with fairness and in good faith There is nothing confidential or proprietary with regard to the medical records referenced. By your suggestion that my client obtain the records directly from the health care providers you'll e 2 7 2007 **CLAIMS**

July 20, 2007

Stonebridge Life Insurance Company Page Four

acknowledging that she has the authority to obtain the records. However, obtaining the records from the medical providers does not tells us what records Stonebridge has in its possession and upon which it relied. As you know, this material would be discoverable during litigation. To compel your own insured to file a lawsuit merely to obtain documentation that should be freely exchanged in an effort to amicably resolve the issues between an insurer and its beneficiary is clearly an act of bad faith. Please promptly forward the requested documents.

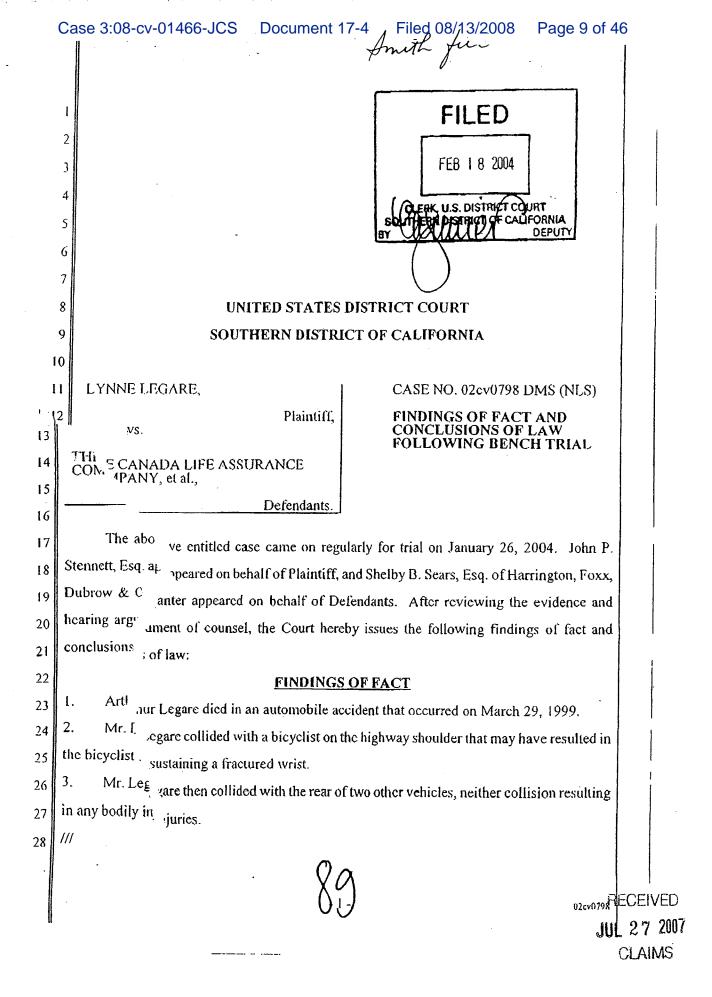
I trust that even before sending the requested documents that you will acknowledge coverage under the facts of this case and under the law of the State of California as outlined above.

Yours very truly,

JOHN P. STENNETT

JPS:ls Enclosure

> RECEIVED JUL 27 2007 **CLAIMS**



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- 4. Ultimately, Mr. Legare collided with the rear of a van that was stopped on the freeway. This collision resulted in an explosion of the van's gas tank. The resulting fire consumed Mr. Legare and his automobile.
- 5. The County of San Diego Autopsy Report declared the cause of death as inhalation of products of combustion and extensive body burns with contributing factors of blunt trauma injuries.
- 6. Two medications were found in Mr. Legarc's bloodstream after his death: Soma, a muscle relaxant, and Tylenol with Codeine Number 3, an analgesic. These medications were prescribed by Mr. Legarc's physician for long-standing back pain.
- 7. The officers that investigated the accidents leading up to Mr. Legare's death suggested he violated California Vehicle Code Section 20001, Misdemeanor Hit and Run.
- 8. Plaintiff Lynne Legare is Arthur Legare's wife. She is also the beneficiary of her husband's group accidental death policy issued by Defendant Canada Life.
- 9. The insured benefits were provided as part of an employee benefit plan governed by the Employee Retirement Income Security Act of 1974 (ERISA), 29 U.S.C. § 1001 et seq.
- 10. The plan provided for benefits to be paid to Plaintiff in the amount of \$68,000 if her husband died accidently.
- 11. Canada Life denied Plaintiff's claim for benefits citing two exclusions in the policy. The first exclusion stated: "No payment will be made under this provision if the loss, or injury leading to the loss, occurs while: (1) in the course of operating a motor vehicle; (a) under the influence of an intoxicant" Canada Life also denied the claim under the exclusion that provided no coverage if the loss occurred while "committing or attempting to commit a felony."

CONCLUSIONS OF LAW

- 12. Under ERISA, state insurance regulations are saved from preemption. 28 U.S.C. § 1144(6)(2)(A).
- 13. California Insurance Code Section 10369.12 provides:
 - A disability policy may contain a provision in the form set forth herein.

RECEIVED 02CV0798 UL 2 7 200 CLAIMS

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Intoxicants and controlled substances: The insurer shall not be liable for any loss sustained or contracted in consequence of the insured's being intoxicated or under the influence of any controlled substance unless administered on the advice of a physician.

- This Court finds the controlled substances exclusion of the Canada Life policy is more restrictive than that allowed under California Insurance Code Section 10369.12. Accordingly, Canada Life's policy must be rewritten to conform with California law.
- Canada Life has the burden of proving each of the three elements of California Insurance Code Section 10369.12. Those elements are whether Mr. Legare's death was (1) in consequence of (2) being under the influence of a controlled substance and (3) whether the substances found in Mr. Legare's system were "not administered on the advice of a physician."
- In determining the first element, the Court follows the cases of Garvey v. State Farm, 48 Cal. 3d 395 (1989) and Olsen v. American Banker's Ins. Co. of Florida, 30 Cal. App. 4th 816 (1994). These cases require the intoxication to be the predominant cause of the loss. The evidence presented to the Court demonstrates that several factors may have contributed to Mr. Legare's death, including the design of the gas tank, the explosion thereof, and Mr. Legare's intoxication. In light of this evidence, Defendants have failed to prove Mr. Legare's intoxication was the predominant cause of the loss.
- Defendants have also failed to prove the substances found in Mr. Legare's system were not administered on the advice of a physician. Contrary to Defendants' argument, the statutory language does not require a showing the decedent was taking the medication exactly as prescribed. Following the rationale of Hummel v. Continental Casualty Ins. Co., 254 F.Supp.2d 1183, 1189 (D. Nev. 2003), the Court finds the focus of the statutory limitation is to exclude losses resulting from the illegal use of drugs as opposed to the legitimate use of a controlled substance pursuant to a physician's advice. In this case, the parties agree the drugs found in Mr. Legare's system were prescribed by his physician.
- Defendants have also failed to present any evidence to support their argument the felony 18.

02cv0798

- 3 -

CLAIMS

Document 17-4

Filed 08/13/2008

Page 12 of 46

Case 3:08-cv-01466-JCS

02cv0798

- 4 -

Document 17-4

Filed 08/13/2008 Page 13 of 46

STENNETT

CASINO

Attorneys at Law 501 West Broadway, Suite 1340 San Diego, California 92101



Ilindddlindiadddlid Judy Lovelady Stonebridge Life Insurance Company 2700 West Plano Parkway Plano, Texas 75075

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75075**352**05 cdis

SLIC 0010

EXHIBIT G

Insurance Company

Administrative Office: 2700 West Plano Parkway • Plano, Texas 75075-8200

CHARLES K. COSTA, CLU, FLMI, FLHC, ACS Vice President – Claims
PHONE 972/881-6300 • FAX 972/881-6369

August 9, 2007

Mr. John P. Stennett Stennett - Casino Attorneys at Law 501 West Broadway, Suite 1340 San Diego, California 92101

Regarding

Dianne G. Hall-Hussain

Certificate No.:

72A45P0585

Claim No.

B-651853-01

Dear Mr. Stennett:

Your recent letter to Ms. Lovelady has been received and forwarded to my attention for review and response.

We do not believe benefits are payable as outlined in our letter dated June 12, 2007. We believe our Certificate exclusion is in compliance with California Insurance Code and the death of Ms. Hall-Hussain falls within the exclusion. Additionally, while we acknowledge there is no definition of medical treatment in our Certificate, we believe the average person would view medical treatment as anything a doctor does in an effort to relieve or cure a condition. Therefore taking medication in this case would be treatment.

As to the release of records, it is certainly not our intention to force anyone in to a lawsuit. We advised your office what information we had and where it came from. When evaluating a claim, we use all the information we get before making a decision. If the claim cannot be paid, the specific reasons are given as in this case.

Sincerely,

STONEBRIDGE LIFE INSURANCE COMPANY

Ken Costa

Vice President - Claims

EXHIBIT H

4/27/07 axc claim

STONEBRIDGE LIFE

Insurance Company

2700 West Plano Parkway * Plano, Texas 75075-8200

TO EXPEDITE YOUR CLAIM

- 1. ATTACH A CERTIFIED DEATH CERTIFICATE.
- 2. PLEASE COMPLETE THIS FORM INCLUDING ALL PAGES, SIGN AND DATE.
- PLEASE ALSO SIGN AND DATE THE ENCLOSED AUTHORIZATION.
- 4. IF A PHYSICIAN'S STATEMENT IS ENCLOSED, PLEASE HAVE IT COMPLETED BY THE DOCTOR.
- 5. IF THERE WAS NO SURVIVING SPOUSE OR NAMED BENEFICIARY THE ENCLOSED AFFIDAVIT OF HEIRSHIP SHOULD BE COMPLETED AND SIGNED.
- 6. ENCLOSE AUTOPSY REPORT IF AVAILABLE.
- 7. ENCLOSE POLICE REPORT IF AVAILABLE.
- 8. ENCLOSE NEWSPAPER ARTICLE IF AVAILABLE.
- 9. OUR FAX # IS 1-972-881-6367
- 10. OUR TOLL FREE PHONE # IS 1-800-692-5246.

PROOF OF ACCIDENTAL DEATH - AFFIDAVIT OF CLAIMANT ATTACH A CERTIFIED DEATH CERTIFICATE

ATTACH A CERTIFICATE					
1. Complete Name of Deceased Diane	Geraldine Hall- Hussain				
2 Policy/Certificate Numbers 12 A 45	5 PO585				
3 Other Names by which Deceased is known					
4. Residence of the Deceased at Death Residence SVD	1 St Fureka CA 95501				
5. Deceased's Date of Birth	Social Security # REDACTED				
6. Place, Date and Cause of Death Rosidence	49.07, Accidential Dverdose Precij				
7. What Date did Accident Occur? Unkn.4/8/0	Approximate Time of Accident				
8. If Accident at work, give Name and Address of Employer					
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11. Eyewitness Name N/A	Phone Number				
tureka PB, box Cs	Phone Number of Agency and the Name of the Investigating Officer Will not velease Fuvelca, CA 95501 Report #300726				
13 If Autopsy or Inquest Report not attached, give name and addre	ss of Coroner/Medical Examiner Noy Horton, 3012 T St Europa CA 98501 RECEIVED A				
15. Name and Town/City of other Hospitals Confined for this Accident 16. Name. Address and Phone Number of Doctor who attended Dec	APR 27 2007 HA				
17. Deceased's Family Doctor's Name, Address and Phone Number	Chia Chen, 2350 Bahne St				
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* Within days after Oxycontin	was increased my mother died+				

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Page 18 of 46. 46.

EXHIBIT I

Please add to claim:

Stonebridge life

Insurance Company

2700 West Plano Parkway • Plano, Texas 75075-8200

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EXHIBIT J

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UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA
CASE NO. C 08-01466 JCS

TERRI SMITH and MICHELLE SMITH FREGOSO,

Plaintiffs,

vs.

STONEBRIDGE LIFE INSURANCE COMPANY,

Defendants.

DEPOSITION

O F

CHIA CHEN, M.D.

FRIDAY, APRIL 11, 2008

8:15 A.M.

VALERIE WALKER, CSR #7209

CRNICH DEPOSITIONS

626 H STREET, EUREKA, CA. 95501

TELEPHONE 707 443-4879
FAX 707 443 4870
CONFERENCE ROOMS

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A P P E A R A N C E S
1
2
    FOR THE PLAINTIFFS:
3
       Law Offices of Jack Stennett
4
       BY: Jack Stennett, Esq.
                 and
5
            Barbara Casino, Esq.
       501 West Broadway, Ste. 1340
6
       San Diego, CA 92101
       (619) 544-6887
7
       (Appearing telephonically)
8
9
    FOR THE DEFENDANTS:
10
       MANATT, PHELPS & PHILLIPS, LLP
11
       BY: Joseph Laska, Esq.
       11355 West olympic Boulevard
12
       Los Angeles, CA 90064
        (310) 312-4352
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3	EXAMINATION	J	PAGE
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5	By Mr. Laska By Mr. Stennett		66, 74
6	Dy III.		
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8	EXHIBITS	DESCRIPTION	PAGE
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10	В	April 9, 2007 notes	52
11	C	Death certificate	61
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UNITED STATES DISTRICT COURT 1 FOR THE NORTHERN DISTRICT OF CALIFORNIA 2 CASE NO. C 08-01466 JCS 3 4 5 TERRI SMITH and MICHELLE 6 SMITH FREGOSO, 7 Plaintiff, 8 VS. 9 STONEBRIDGE LIFE INSURANCE COMPANY, 10 Defendants. 11 12 Be it remembered that pursuant to notice, and on 13 Friday, April 11, 2008, commencing at the hour of 14 8:15 a.m. thereof, at the office of Redwood Family 15 Practice, 2350 Buhne Street, Eureka, California, before 16 me, Valerie Walker, Certified Shorthand Reporter Number 17 7209 for the State of California, personally appeared 18 CHIA CHEN, M.D., 19 a witness in the above-entitled action, called by the 20 Defendant, who, after having been duly sworn to testify 21 to the truth, the whole truth and nothing but the truth, 22 was interrogated and examined in said cause. 23 24

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EUREKA, CALIFORNIA; FRIDAY, APRIL 11, 2008 1 8:15 A.M. 2 3 4 CHIA CHEN, M.D., 5 having been duly sworn, testified as follows: 6 7 EXAMINATION 8 BY MR. LASKA: 9 Good morning, Dr. Chen. 10 Good morning. 11 Α. Could you please state your name for the record? 0. 12 13 Α. Chia Chen. Have you ever been known by any other names? 14 Q. 15 Α. No. Okay. Do you have a maiden name? 16 0. I have a middle name, L-I-N. 17 Α. 18 Ο. L-I-N? Α. Yes. 19 Q. As I said, I introduced myself earlier, but for 20 the record, Joe Laska. I'm an attorney, and I represent 21 Stonebridge Life Insurance Company in connection with 22 the litigation that was filled by Terri Smith and 23 Michelle Smith Fregoso, who were daughters of Diane 24 Geraldine Hall-Hussain, who I understand was your former 25

another office and decided to come back here.

- Q. What was the name of the previous physician from this office that she had seen?
- A. I believe -- I don't have a name here on my record, but I believe it was Eureka Internal Medicine, although she had several because of all the specialists she'd been going to, so I'm not surprised that I didn't write that particular physician down. But on my records it was Dr. Albertini, A-L-B-E-R-T-I-N-I, who was the urologist, kidney specialist. But you could say that was the last one she saw.
- Dr. Albertini was a doctor she saw here, not in this office, in this town.
- Q. Okay. I see. Sorry. I may have misunderstood.

 I believe you testified she continued her treatment with

 a different doctor here in this office?
 - A. Right. In 1999 she saw a different physician, a primary physician, and she was not seen in our office until I saw her in 2004. So between those times she had seen other physicians, including other primary physicians and specialists.
 - Q. What was the name of the primary physician from this office that she saw in 1999?
 - A. That was Dr. Newman, N-E-W-M-A-N.
 - Q. Were you Ms. Hall-Hussain's primary physician

1 from July 7th, 2004 --2 Α. Yes. 3 -- through the time of her death? Ο. 4 Α. Yes. 5 To your knowledge, did Ms. Hall-Hussain see any 6 other doctors during that period? 7 Yes, specialists and also any doctor that might 8 have consulted while she was hospitalized, but I don't 9 believe she's seen any other primary doctors. 10 Ο. Do you know Dr. Ann Lindsay? 11 Yes. Α. 12 0. Do you know if Ms. Hall-Hussain was a patient of 13 Dr. Lindsay's? 14 Not from this record in front of me, but I could search through it more, if you like. She might. She's 15 16 been in this area for a while so she might have seen 17 other physicians in the area that I'm not aware of. 18 Dr. Lindsay doesn't work in this office, correct? 19 Α. No. 20 But have you no personal knowledge of Q. 21 Ms. Hall-Hussain seeing Dr. Lindsay? 22 That could be the doctor she'd seen before. Α. 23 recall she said that she was fired by another physician, 24 and that might be -- it was -- or maybe she fired her. 25 But anyway, so she was on a medication called

A. Yes.

- Q. Do you remember the first time that you prescribed it for her?
 - A. No, I don't remember the dates.
- Q. We can walk through the records and try to figure that out. But what was the reason that you prescribed the oxycodone for Ms. Hall-Hussain?
 - A. For intractable pain.
- Q. And you prescribed the OxyContin to treat the intractable pain?
- A. Right. I have the date if you like, April 21st, 2005.
- Q. To keep the record clean, why don't we look at the stack of documents that I gave to you, and I'll point out where I believe that is. If you would notice at the bottom of each page there's a number that says SLIC, and if you could turn to the page that is 129.
 - A. Okay.
- Q. Is that the record that you were looking at in your file?
- 21 A. Yes.
 - Q. And based on this record, it's your recollection that you first prescribed OxyContin for Ms. Hall-Hussain on April 21st, 2005?
 - A. Yes.

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- Can you explain the difference between OxyContin Q. and oxycodone? Oxycodone is a generic form of OxyContin. Α. So OxyContin is the brand name? Q. Α. Correct. And are the two essentially interchangeable? Q. Essentially, but patients will tell you Α. differently. Sometimes they will claim that a generic is different from a brand name. Was there a reason that you prescribed in this Q. case OxyContin as opposed to oxycodone? OxyContin is supposedly the longer lasting form Α. so that hopefully they won't have to take it as often. And how long is OxyContin supposed to last? 0. Α. Supposedly 12 hours, so we tend to use it twice a Sometimes it doesn't last as long as it's claimed so patients may end up taking it three times a day. Well, let's look at the note for April 21st. Q. Α. Are you still referring to page 129? Q. I am, please. Now, pretend that I don't know anything about
 - Now, pretend that I don't know anything about doctors notations or writing prescriptions, and I assure you it's not that much of a stretch to pretend that. So if you could please walk me through these notations so that we can understand this. It says, "OxyContin" and

the two possibilities that I can think of. 1 And MS Contin, is that also a painkiller? 2 3 Α. Yes. And actually, can you describe the type of 4 0. 5 medicine that OxyContin is? It's a narcotic painkiller. Α. 6 Used to treat pain? 7 Q. Severe pain, yes. 8 Α. So before prescribing OxyContin, you had 9 Q. prescribed MS Contin? 10 11 A. Yes. And for whatever reason Ms. Hall-Hussain didn't 12 pick that up from the pharmacy? 13 14 A. Yes. Q. Before prescribing MS Contin, to the best of your 15 recollection, had you prescribed any other painkillers 16 for Ms. Hall-Hussain? 17 I might have. I need to look at the records. 18 If you want to take a moment and flip back, that 19 would be fine, if that would refresh your recollection. 20 So the only one that I see -- for some reason, 21 both of these sheets, the dates are not clear. But this 22 one, the 130, page 130 looks like it should be from '05 23 as well, because on the bottom I made a notation. And 24 looks like she was on a Duragesic patch, and I switched 25

appears to be a note from November 3rd, 2006? 1 2 Α. Yes. At the bottom it seems to indicate that Q. 3 Ms. Hall-Hussain is -- or was still instructed to take 4 40 milligrams of OxyContin, two pills three times a day? 5 Yes. Α. 6 So that was the same dosage as last time? 7 0. Yes. Α. 8 And it was not an increase? 9 Ο. Yes. 10 Α. Let me ask you -- we have, based on the Okay. 11 Q. notes that we've looked at, seems like Ms. Hall-Hussain 12 was on OxyContin from April 2005, starting in April 13 2005? 14 Α. Yes. 15 To your knowledge, was she taking OxyContin from 16 April 2005 up through the time of her death? 17 Yes. It should be. Α. 18 I mean, you don't have any recollection that she 19 Ο. had stopped taking it at any point and then started 20 taking it again? 21 I would have to look through the records to see 22 if she told me she stopped and started. If the refill 23 record shows that every month we've been refilling it, 24 then I'd have to assume she was taking it or she 25

wouldn't be filing for a refill.

Q. Let's discuss that process.

The number of pills that you prescribed for Ms. Hall-Hussain was intended to last 30 days?

- A. Yes.
- O. So one month supply?
- A. Yes.

- O. So did she refill her prescription every month?
- A. I can look and tell you. Do you want me to look and tell you?
- Q. Yes, please. And I think the notes you're looking for are probably at 134, and there's some additional notes on 135 and 136.
 - A. So it looks like it's very close to monthly, yes, because you can see every month, April, May, June, July, August, so forth.
 - Q. How did that work logistically? Did Ms. Hall have to come in every month personally for the prescription? Was it something that you were able to telephone into the pharmacy?
 - A. Yeah. The way we do it is, if a person has had a long experience with the medication, I feel that they are -- they don't need to come in every month. They can come in every three months. Or if I feel that they do need to come in every month, I will make them come in

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Exactly.
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       Α.
           Because of all the dangers involved?
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           Yes.
3
       Α.
           And the next time you prescribed the medication
4
    was March 26?
5
       Α.
           Yes.
6
           If I could direct your attention to page 112, to
7
    the second to last page from the top. Is this your
8
    handwriting?
9
           No. This is a student, a nursing -- well, a
10
    nurse practitioner student.
11
                 MR. STENNETT: When you say "this," are you
12
    referring to the Post-it note?
13
                 MR. LASKA: I'm sorry. We're looking at
14
    112, and it's just a whole sheet of notes. And I was
15
    referring to the handwriting in general.
16
                 MR. STENNETT:
                                Okay.
17
    BY MR. LASKA:
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            It appears to be dated April 3rd, 2007?
19
       Ο.
       Α.
           Yes.
20
            The middle of the page, based on what we
21
    discussed earlier, it appears to indicate that you
22
    increased the dosage of OxyContin to 40 milligrams three
23
     tabs three times per day?
24
            Yes.
25
        Α.
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- Q. And the number next to that is 270?
- 2 A. Yes.

- Q. And that's because three tabs three times a day is nine tabs per day times 30 days is 270?
 - A. Uh-huh. Yes.
- Q. So based on these notes, it's your recollection that this is accurate in that on April 3rd you increased the dosage of Ms. Hall-Hussain's OxyContin?
 - A. Yes.
- Q. Do you have any independent recollection of this office visit?
- A. Yes.
 - Q. Do you remember why it was that you increased the dosage at that time?
 - A. It's because she complained of more pain, and her pain is not being controlled by what she's on. And she might have expressed to me that -- she stopped the existing medications she had or she could have lost it because she had traveled or she don't have it anymore for any reason, somebody could have taken it from them. There's all kinds of reasons that she may not have enough medication. And this is only a part of that day.

And what I recall is that she also developed some sores and foot problems that may give her additional pain that was not her usual pain amount. There's other

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the transcript will be assumed to be correct as is and
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    an unsigned copy can be used for all purposes at trial
2
    or any other instance in this matter.
3
                MR. STENNETT: And that her signature be
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    under penalty of perjury.
5
                MR. LASKA: Yes, of course.
6
                MR. STENNETT: So stipulated.
7
                MR. LASKA: All right. Talk to you in
8
    awhile.
9
           (The deposition was concluded at 10:05 a.m.)
10
11
12
13
    I hereby certify under penalty of perjury that the
14
    foregoing is true and correct.
15
    Executed this _____ day of ______, 2008,
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    CHIA CHEN, M.D.
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STATE OF CALIFORNIA)

COUNTY OF HUMBOLDT)

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I, Valerie Walker, CSR No. 7209, a Certified Shorthand Reporter of the State of California, hereby certify that the witness in the foregoing deposition was by me duly sworn to testify to the truth, the whole truth and nothing but the truth in the within-entitled cause; that said deposition was taken at the time and place therein stated; that the testimony of the said witness was reported by me and was thereafter transcribed under my direction into typewriting; that the foregoing is a full, complete and true record of said testimony; and that the witness was given an opportunity to read and correct said deposition and to subscribe the same. Should the signature of the witness not be affixed to the deposition, the witness shall not have availed himself/herself of the opportunity to sign or the signature has been waived.

I further certify that I am not of counsel or attorney for either or any of the parties in the foregoing deposition and caption named, or in any way interested in the outcome of the cause named in said caption.

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Certified Shorthand Reporter

EXHIBIT K

UNITED STATES DISTRICT COURT

FOR THE NORTHERN DISTRICT OF CALIFORNIA

CASE NO. C 08-01466 JCS

TERRI SMITH and MICHELLE SMITH FREGOSO,

Plaintiffs,

vs.

STONEBRIDGE LIFE INSURANCE COMPANY,

Defendants.

DEPOSITION

O F

DEPUTY CORONER ROY HORTON

FRIDAY, APRIL 11, 2008

. . .

11:00 A.M.

VALERIE WALKER, CSR #7209

CRNICH DEPOSITIONS

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UNITED STATES DISTRICT COURT 1 FOR THE NORTHERN DISTRICT OF CALIFORNIA 2 CASE NO. C 08-01466 JCS 3 4 5 TERRI SMITH and MICHELLE 6 SMITH FREGOSO, 7 Plaintiffs, 8 vs. 9 STONEBRIDGE LIFE INSURANCE COMPANY, 10 Defendants. 11 12 Be it remembered that pursuant to notice, and on 13 Friday, April 11, 2008, commencing at the hour of 14 11:00 a.m. thereof, at the offices of Crnich 15 16 Depositions, Certified Shorthand Reporters, 626 H 17 Street, Eureka, California, before me, Valerie Walker, 18 Certified Shorthand Reporter Number 7209 for the State of California, personally appeared 19 20 DEPUTY CORONER ROY HORTON, 1 a witness in the above-entitled action, called by the 21 22 Defendant, who, after having been duly sworn to testify to the truth, the whole truth and nothing but the truth, 23 was interrogated and examined in said cause. 24 25

EUREKA, CALIFORNIA; FRIDAY, APRIL 11, 2008 1 2 11:00 A.M. 3 4 5 DEPUTY CORONER ROY HORTON, 6 having been duly sworn, testified as follows: 7 EXAMINATION 8 BY MR. LASKA: 9 10 Good morning, Deputy. Q. 11 Good morning. Α. If you could state your name for the record, 12 13 please. 14 Roy W. Horton, H-O-R-T-O-N. Α. 15 What does the W stand for? Q. 16 Α. Wilbur. 17 Q. Is that W-I-L-B-U-R? 1.8 Α. Yes. 19 Q. Have you ever been known by any other name? 20 Α. No. 21 We just met before we went on the record, but 22 again, my name is Joe Laska. I'm an attorney. 23 represent Stonebridge Life Insurance Company in 24 connection with a lawsuit filed by the daughters of a 25 woman named Diane Geraldine Hall-Hussain. The lawsuit

relying on in giving your testimony. 1 2 BY MR. LASKA: Deputy, if you could look at this next document 3 4 and tell me if you recognize it. 5 MR. LASKA: Jack and Barbara, I'm showing 6 him documents that are Bates numbered SLIC 0070 through 7 74. Do you recognize the document, sir? 8 9 Α. Yes. What is it? 10 Ο. Face page of my narrative, the narrative itself 11 Α. 12 and copies of the toxicology report. 13 Okay. And these document taken together, do 14 these comprise the entire death investigation report? 15 Α. Yes. 16 So when going through the testimony let's refer 17 to these documents because they're numbered and counsel 18 on the phone will be able to follow along. 19 Going back, you were testifying that: you were 20 called to 606 Eighth Street, Number 2, in Eureka? 21 Α. Correct. 22 0. And what did you do next? 23 I met with Officer Laird out in the front of the 24 apartment building. 25 Q. Do you remember what, if anything, he told you at

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questions.
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               Anything else, Counsel?
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                MR. STENNETT: No, nothing here. Thank you.
3
                MR. LASKA: Can we just incorporate the same
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    stipulation from the last deposition?
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                MR. STENNETT: That's fine.
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           (The deposition was concluded at 12:45 p.m.)
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    I hereby certify under penalty of perjury that the
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    foregoing is true and correct.
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    Executed this _____, 2008,
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    ROY HORTON
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STATE OF CALIFORNIA)

COUNTY OF HUMBOLDT)

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I, Valerie Walker, CSR No. 7209, a Certified Shorthand Reporter of the State of California, hereby certify that the witness in the foregoing deposition was by me duly sworn to testify to the truth, the whole truth and nothing but the truth in the within-entitled cause; that said deposition was taken at the time and place therein stated; that the testimony of the said witness was reported by me and was thereafter transcribed under my direction into typewriting; that the foregoing is a full, complete and true record of said testimony; and that the witness was given an opportunity to read and correct said deposition and to subscribe the same. Should the signature of the witness not be affixed to the deposition, the witness shall not have availed himself of the opportunity to sign or the signature has been waived.

I further certify that I am not of counsel or attorney for either or any of the parties in the foregoing deposition and caption named, or in any way interested in the outcome of the cause named in said

23 caption.

Certified Shorthand Reporter